## SEMESTER IV

| SLOT | COURSE <br> NO. | COURSES | L-T-P | HOURS | CREDIT |
| :---: | :---: | :--- | :---: | :---: | :---: |
| A | MAT 206 | GRAPH THEORY | $3-1-0$ | 4 | 4 |
| B | CST 202 | COMPUTER ORGANISATION <br> AND ARCHITECTURE | $3-1-0$ | 4 | 4 |
| C | CST 204 | DATABASE MANAGEMENT <br> SYSTEMS | $3-1-0$ | 4 | 4 |
| D | CST 206 | OPERATING SYSTEMS | $3-1-0$ | 4 | 4 |
| E | EST 200 | DESIGN \& ENGINEERING | $2-0-0$ | 2 | 2 |
| F | MNC 202 | CONSTITUTION OF INDIA | $2-0-0$ | 2 | -- |
| S | CSL 202 | DIGITAL LAB | $0-0-3$ | 3 | 2 |
| T | CSL204 | OPERATING SYSTEMS LAB | $0-0-3$ | 3 | 2 |
| H | CST 294 | COMPUTATIONAL <br> FUNDAMENTALS <br> MACHINE LEARNING | FOR | $3-1-0$ | 4 |
|  |  | TOTAL | 4 |  |  |
| E Excluding Hours to be engaged for Remedial/Minor/Honors course. |  |  |  |  |  |


| CODE | COURSE NAME | CATEGORY | L | T | P | CREDIT |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MAT 206 | GRAPH THEORY | BSC | 3 | 1 | 0 | 4 |

Preamble: This course introduces fundamental concepts in Graph Theory, including properties and characterisation of graph/trees and graph theoretic algorithms, which are widely used in Mathematical modelling and has got applications across Computer Science and other branches in Engineering.

Prerequisite: The topics covered under the course Discrete Mathematical Structures (MAT 203 )

Course Outcomes: After the completion of the course the student will be able to

| CO 1 | Explain vertices and their properties, types of paths, classification of graphs and <br> trees \& their properties. (Cognitive Knowledge Level: Understand) |
| :--- | :--- |
| CO 2 | Demonstrate the fundamental theorems on Eulerian and Hamiltonian graphs. <br> (Cognitive Knowledge Level: Understand) |
| CO 3 | lllustrate the working of Prim's and Kruskal's algorithms for finding minimum cost <br> spanning tree and Dijkstra's and Floyd-Warshall algorithms for finding shortest <br> paths. (Cognitive Knowledge Level: Apply) |
| CO 4 | Explain planar graphs, their properties and an application for planar graphs. <br> (Cognitive Knowledge Level: Apply) |
| CO 5 | Illustrate how one can represent a graph in a computer. (Cognitive Knowledge <br> Level: Apply) |
| CO 6 | Explain the Vertex Color problem in graphs and illustrate an example application <br> for vertex coloring. (Cognitive Knowledge Level: Apply) |

Mapping of course outcomes with program outcomes

|  | PO | PO 2 | PO 3 | PO 4 | PO 5 | PO 6 | PO 7 | PO 8 | PO 9 | PO 10 | PO 11 | PO 12 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| CO 1 | $\sqrt{ }$ | $\sqrt{ }$ | $\sqrt{ }$ |  |  |  |  |  |  | $\checkmark$ |  | $\checkmark$ |
| CO 2 | $\sqrt{ }$ | $\sqrt{ }$ | $\checkmark$ | $\checkmark$ |  |  |  |  |  | $\checkmark$ |  | $\checkmark$ |
| CO 3 | $\sqrt{ }$ | $\sqrt{ }$ | $\sqrt{ }$ | $\checkmark$ |  |  |  |  |  | $\checkmark$ |  | $\checkmark$ |
| CO 4 | $\sqrt{ }$ | $\sqrt{ }$ | $\sqrt{ }$ | $\checkmark$ |  |  |  |  |  | $\checkmark$ |  | $\checkmark$ |
| CO 5 | $\sqrt{ }$ | $\sqrt{ }$ | $\sqrt{ }$ |  |  |  |  |  |  | $\sqrt{ }$ |  | $\checkmark$ |
| CO 6 | $\sqrt{ }$ | $\checkmark$ | $\sqrt{ }$ |  |  | $\sqrt{ }$ |  |  |  | $\checkmark$ |  | $\checkmark$ |


| Abstract POs defined by National Board of Accreditation |  |  |  |
| :--- | :--- | :---: | :--- |
| PO\# | Broad PO | PO\# | Broad PO |
| PO1 | Engineering Knowledge | PO7 | Environment and Sustainability |
| PO2 | Problem Analysis | PO8 | Ethics |
| PO3 | Design/Development of solutions | PO9 | Individual and team work |
| PO4 | Conduct investigations of complex <br> problems | PO10 | Communication |
| PO5 | Modern tool usage | PO11 | Project Management and Finance |
| PO6 | The Engineer and Society | PO12 | Life long learning |

## Assessment Pattern

| Bloom's Category | Continuous Assessment Tests (\%) |  | End Semester <br> Examination (\%) |
| :--- | :---: | :---: | :---: |
|  | $\mathbf{1}$ | $\mathbf{2}$ | 30 |
| Remember | 30 | 30 | 30 |
| Understand | 30 | 30 | 40 |
| Apply | 40 | 40 |  |
| Analyse |  |  |  |
| Evaluate |  |  |  |
| Create |  |  |  |

## Mark Distribution

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
| :---: | :---: | :---: | :---: |
| 150 | 50 | 100 | 3 hours |

## Continuous Internal Evaluation Pattern:

Attendance $: 10$ marks
Continuous Assessment Tests $: 25$ marks
Continuous Assessment Assignment :15 marks
Internal Examination Pattern:
Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5 .

End Semester Examination Pattern: There will be two parts; Part A and Part B. Part A contain 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer anyone. Each question can have maximum 2 sub-divisions and carries 14 marks.

## Syllabus

## Module 1

Introduction to Graphs : Introduction- Basic definition - Application of graphs - finite, infinite and bipartite graphs - Incidence and Degree - Isolated vertex, pendant vertex and Null graph. Paths and circuits - Isomorphism, sub graphs, walks, paths and circuits, connected graphs, disconnected graphs and components.

## Module 2

Eulerian and Hamiltonian graphs : Euler graphs, Operations on graphs, Hamiltonian paths and circuits, Travelling salesman problem. Directed graphs - types of digraphs, Digraphs and binary relation, Directed paths, Fleury's algorithm.

## Module 3

Trees and Graph Algorithms: Trees - properties, pendant vertex, Distance and centres in a tree - Rooted and binary trees, counting trees, spanning trees, Prim's algorithm and Kruskal's algorithm, Dijkstra's shortest path algorithm, Floyd-Warshall shortest path algorithm.

## Module 4

Connectivity and Planar Graphs : Vertex Connectivity, Edge Connectivity, Cut set and Cut Vertices, Fundamental circuits, Planar graphs, Kuratowski's theorem (proof not required), Different representations of planar graphs, Euler's theorem, Geometric dual.

## Module 5

Graph Representations and Vertex Colouring : Matrix representation of graphsAdjacency matrix, Incidence Matrix, Circuit Matrix, Path Matrix. Coloring- Chromatic number, Chromatic polynomial, Matchings, Coverings, Four color problem and Five color problem. Greedy colouring algorithm.

## Text book:

1. Narsingh Deo, Graph theory, PHI, 1979

## Reference Books:

1. R. Diestel, Graph Theory, free online edition, 2016: diestel-graph-theory.com/ basic.html.
2. Douglas B. West, Introduction to Graph Theory, Prentice Hall India Ltd.,2001
3. Robin J. Wilson, Introduction to Graph Theory, Longman Group Ltd.,2010
4. J.A. Bondy and U.S.R. Murty. Graph theory with Applications

## Sample Course Level Assessment Questions.

## Course Outcome 1 (CO1):

1. Differentiate a walk, path and circuit in a graph.
2. Is it possible to construct a graph with 12 vertices such that two of the vertices have degree 3 and the remaining vertices have degree 4? Justify
3. Prove that a simple graph with n vertices must be connected, if it has more than $\frac{(n-1)(n-2)}{2}$ edges.
4. Prove the statement: If a graph (connected or disconnected) has exactly two odd degree, then there must be a path joining these two vertices.

## Course Outcome 2 (CO2):

1. Define Hamiltonian circuit and Euler graph. Give one example for each.
2. Define directed graphs. Differentiate between symmetric digraphs and asymmetric digraphs.
3. Prove that a connected graph G is an Euler graph if all vertices of G are of even degree.
4. Prove that a graph G of n vertices always has a Hamiltonian path if the sum of the degrees of every pair of vertices $V i, V j$ in G satisfies the condition $d(V i)+d(V j)=n-1$

Course Outcome 3 (CO3):

1. Discuss the centre of a tree with suitable example.
2. Define binary tree. Then prove that number of pendant vertices in a binary tree is $\frac{(n+1)}{2}$
3. Prove that a tree with $n$ vertices has $n-1$ edges.
4. Explain Floyd Warshall algorithm.
5. Run Dijkstra's algorithm on the following directed graph, starting at vertex $\boldsymbol{S}$.


## Course Outcome 4 (CO4):

1. Define edge connectivity, vertex connectivity and separable graphs. Give an example for each.
2. Prove that a connected graph with $\boldsymbol{n}$ vertices and e edges has $e-n+2$ edges.
3. Prove the statement: Every cut set in a connected graph G must also contain at least one branch of every spanning tree of G.
4. Draw the geometrical dual $\left(\boldsymbol{G}^{*}\right)$ of the graph given below, also check whether $\boldsymbol{G}$ and $\mathbf{G}^{*}$ are self-duals or not, substantiate your answer clearly.


## Course Outcome 5 (CO5):

1. Show that if $\boldsymbol{A}(\boldsymbol{G})$ is an incidence matrix of a connected graph $\boldsymbol{G}$ with $\boldsymbol{n}$ vertices, then rank of $\boldsymbol{A}(\boldsymbol{G})$ is $\boldsymbol{n} \mathbf{- 1}$.
2. Show that if $\boldsymbol{B}$ is a cycle matrix of a connected graph $\boldsymbol{G}$ with $\boldsymbol{n}$ vertices and $\boldsymbol{m}$ edges, then $\operatorname{rank} \boldsymbol{B}=\boldsymbol{m}-\boldsymbol{n}+\boldsymbol{1}$.
3. Derive the relations between the reduced incidence matrix, the fundamental cycle matrix, and the fundamental cut-set matrix of a graph $\boldsymbol{G}$.
4. Characterize simple, self-dual graphs in terms of their cycle and cut-set matrices.

## Course Outcome 6 (CO6):

1. Show that an n vertex graph is a tree iff its chromatic polynomial is $\operatorname{Pn}(\lambda)=\lambda(\lambda-1)^{n-1}$
2. Prove the statement: "A covering $g$ of a graph is minimal if $g$ contains no path of length three or more."
3. Find the chromatic polynomial of the graph


## Model Question paper

> QP
> Code :

Total Pages: 4
Reg No.: $\qquad$ Name: $\qquad$

## APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY

IV SEMESTER B.TECH DEGREE EXAMINATION, MONTH and YEAR

## Course Code: MAT 206

## Course Name: GRAPH THEORY

Max. Marks: 100
Duration: 3 Hours

$$
\begin{gather*}
\text { PART A } \\
\text { Answer all questions, each carries3 marks. }
\end{gather*}
$$

1 Construct a simple graph of 12 vertices with two of them having degree 1, three having degree 3 and the remaining seven having degree 10 .

2 What is the largest number of vertices in a graph with 35 edges, if all vertices are of degree at least 3 ?

3 Define a Euler graph. Give an example of Eulerian graph which is not Hamiltonian

4 Give an example of a strongly connected simple digraph without a directed Hamiltonian path.

5 What is the sum of the degrees of any tree of $\boldsymbol{n}$ vertices?
6 How many spanning trees are there for the following graph


Show that in a simple connected planar graph $\boldsymbol{G}$ having $\boldsymbol{V}$-vertices, $\boldsymbol{E}$-edges, and no triangles $\boldsymbol{E}<=3 \boldsymbol{V}-\mathbf{6}$.

Let $G$ be the following disconnected planar graph. Draw its dual G*, and the dual of the dual ( $\left.\mathrm{G}^{*}\right)^{*}$.

$9 \quad$ Consider the circuit matrix $\boldsymbol{B}$ and incidence matrix $\boldsymbol{A}$ of a simple connected graph whose columns are arranged using the same order of edges. Prove that every row of $\boldsymbol{B}$ is orthogonal to every row of $\boldsymbol{A}$ ?

A graph is critical if the removal of any one of its vertices (and the edges adjacent to that vertex) results in a graph with a lower chromatic number.

Show that $\boldsymbol{K}_{n}$ is critical for all $\boldsymbol{n}>\boldsymbol{1}$.

## PART B

Answer any one Question from each module. Each question carries 14 Marks
11 a) Prove that for any simple graph with at least two vertices has two vertices of the same degree.
b) Prove that in a complete graph with $\boldsymbol{n}$ vertices there are ( $\mathbf{n} \mathbf{- 1} \mathbf{1} / \mathbf{2}$ edge disjoint Hamiltonian circuits and $\boldsymbol{n}>=\boldsymbol{3}$

12 a) Determine whether the following graphs $\boldsymbol{G}_{\boldsymbol{I}}=\left(\boldsymbol{V}_{1}, \boldsymbol{E}_{1}\right)$ and $\boldsymbol{G}_{2}=\left(\boldsymbol{V}_{2}, \boldsymbol{E}_{2}\right)$ are isomorphic or not. Give justification.

b) Prove that a simple graph with $\boldsymbol{n}$ vertices and $\boldsymbol{k}$ components can have at most ( $n-k$ ) ( $n-k+1$ )/2 edges

13 a) Let $\boldsymbol{S}$ be a set of 5 elements. Construct a graph $\boldsymbol{G}$ whose vertices are subsets of $\boldsymbol{S}$ of size 2 and two such subsets are adjacent in $\boldsymbol{G}$ if they are disjoint.
i. Draw the graph $\boldsymbol{G}$.
ii. How many edges must be added to $\boldsymbol{G}$ in order for $\boldsymbol{G}$ to have a Hamiltonian cycle?
b) Let $\boldsymbol{G}$ be a graph with exactly two connected components, both being Eulerian. What is the minimum number of edges that need to be added to $\boldsymbol{G}$ to obtain an Eulerian graph?

## OR

14 a) Show that a $\boldsymbol{k}$-connected graph with no hamiltonian cycle has an independent set of size $\boldsymbol{k}+1$.
b) i. Let $\boldsymbol{G}$ be a graph that has exactly two connected components, both being Hamiltonian graphs. Find the minimum number of edges that one needs to add to $\boldsymbol{G}$ to obtain a Hamiltonian graph.
ii. For which values of $\boldsymbol{n}$ the graph $\boldsymbol{Q}_{\boldsymbol{n}}$ (hyper-cube on $\boldsymbol{n}$ vertices) is Eulerian.
15 a) A tree $\boldsymbol{T}$ has at least one vertex $\boldsymbol{v}$ of degree 4, and at least one vertex $\boldsymbol{w}$ of degree 3. Prove that $\boldsymbol{T}$ has at least 5 leaves.
b) Write Dijkstra's shortest path algorithm.

Consider the following weighted directed graph $\boldsymbol{G}$.


Find the shortest path between $\boldsymbol{a}$ and every other vertices in $\boldsymbol{G}$ using Dijkstra's shortest path algorithm.

## OR

16 a) Define pendent vertices in a binary tree? Prove that the number of pendent vertices in a binary tree with $\boldsymbol{n}$ vertices is $(\boldsymbol{n}+\boldsymbol{1}) / \mathbf{2}$.
b)

Write Prim's algorithm for finding minimum spanning tree.
Find a minimum spanning tree in the following weighted graph, using Prim's algorithm.


Determine the number of minimum spanning trees for the given graph.

17 a) i. State and prove Euler's Theorem relating the number of faces, edges and vertices for a planar graph.
ii. If $\boldsymbol{G}$ is a 5-regular simple graph and $|\boldsymbol{V}|=\mathbf{1 0}$, prove that $\boldsymbol{G}$ is non-planar.
b) Let $\boldsymbol{G}$ be a connected graph and $\boldsymbol{e}$ an edge of $\boldsymbol{G}$. Show that $\boldsymbol{e}$ is a cut-edge if and only if $\boldsymbol{e}$ belongs to every spanning tree.

## OR

18 a) State Kuratowski's theorem, and use it to show that the graph $G$ below is not planar. Draw G on the plane without edges crossing. Your drawing should use the labelling of the vertices given.

b) Let $\boldsymbol{G}$ be a connected graph and $\boldsymbol{e}$ an edge of $\boldsymbol{G}$. Show that $\boldsymbol{e}$ belongs to a loop if and only if $\boldsymbol{e}$ belongs to no spanning tree.

19 a) Define the circuit matrix $\boldsymbol{B}(\boldsymbol{G})$ of a connected graph $\boldsymbol{G}$ with $\boldsymbol{n}$ vertices and $\boldsymbol{e}$ edges with an example. Prove that the rank of $\boldsymbol{B}(\boldsymbol{G})$ is $\boldsymbol{e}-\boldsymbol{n}+\boldsymbol{1}$
b) Give the definition of the chromatic polynomial $\boldsymbol{P}_{\boldsymbol{G}}(\boldsymbol{k})$. Directly from the definition, prove that the chromatic polynomials of $\boldsymbol{W}_{\boldsymbol{n}}$ and $\boldsymbol{C}_{\boldsymbol{n}}$ satisfy the identity $\boldsymbol{P}_{W n}(\boldsymbol{k})=\boldsymbol{k} \boldsymbol{P}_{C n-1}(\boldsymbol{k}-\mathbf{1})$.

## OR

20 a) Define the incidence matrix of a graph $\boldsymbol{G}$ with an example. Prove that the rank of an incidence matrix of a connected graph with $\boldsymbol{n}$ vertices is $\boldsymbol{n} \mathbf{- 1}$.
b) i. A graph $\boldsymbol{G}$ has chromatic polynomial $\boldsymbol{P}_{\boldsymbol{G}}(\boldsymbol{k})=\boldsymbol{k}^{4}-\mathbf{4} \boldsymbol{k}^{3}+5 \boldsymbol{k}^{2}-2 \boldsymbol{k}$. How many vertices and edges does $\boldsymbol{G}$ have? Is $\boldsymbol{G}$ bipartite? Justify your answers.
ii. Find a maximum matching in the graph below and use Hall's theorem to show that it is indeed maximum.

## Assignments

Assignment must include applications of the above theory in Computer Science.

| Teaching Plan |  |  |
| :---: | :---: | :---: |
| No | Topic | No. of Lectures |
| 1 | Module-I (Introduction to Graphs) | (8) |
| 1. | Introduction- Basic definition - Application of graphs - finite and infinite graphs, bipartite graphs, | 1 |
| 2. | Incidence and Degree - Isolated vertex, pendent vertex and Null graph | 1 |
| 3. | Paths and circuits | 1 |
| 4. | Isomorphism | 1 |
| 5. | Sub graphs, walks AP | 1 |
| 6. | Paths and circuits ECHN(M)CAL | 1 |
| 7. | Connected graphs. | 1 |
| 8. | Disconnected graphs and components | 1 |
| 2 | Module-II (Eulerian and Hamiltonian graphs) | (8) |
| 1. | Euler graphs | 1 |
| 2. | Operations on graphs | 1 |
| 3. | Hamiltonian paths and circuits | 1 |
| 4. | Hamiltonian paths circuits | 1 |
| 5. | Travelling salesman problem | 1 |
| 6. | Directed graphs - types of digraphs, | 1 |
| 7. | Digraphs and binary relation, Directed paths | 1 |
| 8. | Fleury's algorithm | 1 |
| 3 | Module-III (Trees and Graph Algorithms) | (11) |
| 1. | Trees - properties | 1 |
| 2. | Trees - properties | 1 |
| 3. | Trees - properties, pendent vertex | 1 |
| 4. | Distance and centres in a tree | 1 |


| 5. | Rooted and binary tree | 1 |
| :---: | :---: | :---: |
| 6. | Counting trees | 1 |
| 7. | Spanning trees, Fundamental circuits | 1 |
| 8. | Prim's algorithm | 1 |
| 9. | Kruskal's algorithm | 1 |
| 10. | Dijkstra's shortest path algorithm | 1 |
| 11. | Floyd-Warshall shortest path algorithm | 1 |
| 4 | Module-IV (Connectivity and Planar Graphs) | (9) |
| 1. | Vertex Connectivity, Edge Connectivity | 1 |
| 2. | Cut set and Cut Vertices | 1 |
| 3. | Fundamental circuits | 1 |
| 4. | Fundamental circuits | 1 |
| 5. | Planar graphs | 1 |
| 6. | Kuratowski's theorem | 1 |
| 7. | Different representations of planar graphs | 1 |
| 8. | Euler's theorem | 1 |
| 9. | Geometric dual 2014 | 1 |
| 5 | Module-V (Graph Representations and Vertex Colouring) | (9) |
| 1. | Matrix representation of graphs- Adjacency matrix, Incidence Matrix | 1 |
| 2. | Circuit Matrix, Path Matrix | 1 |
| 3. | Colouring- chromatic number, | 1 |
| 4. | Chromatic polynomial | 1 |
| 5. | Matching | 1 |
| 6. | Covering | 1 |
| 7. | Four colour problem and five colour problem | 1 |


| 8. | Four colour problem and five colour problem | 1 |
| :---: | :--- | :---: |
| 9. | Greedy colouring algorithm. | 1 |


| CST 202 | Computer <br> Organization <br> and Architecture | CATEGORY | L | T | P | CREDIT | YEAR OF <br> INTRODUCTION |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | PCC | 3 | 1 | 0 | 4 | 2019 |  |

## Preamble:

The course is prepared with the view of enabling the learners capable of understanding the fundamental architecture of a digital computer. Study of Computer Organization and Architecture is essential to understand the hardware behind the code and its execution at physical level by interacting with existing memory and I/O structure. It helps the learners to understand the fundamentals about computer system design so that they can extend the features of computer organization to detect and solve problems occurring in computer architecture.

Prerequisite : Topics covered under the course Logic System Design (CST 203)
Course Outcomes: After the completion of the course the student will be able to

| CO\# | CO |
| :--- | :--- |
| CO1 | Recognize and express the relevance of basic components, I/O organization and <br> pipelining schemes in a digital computer (Cognitive knowledge: Understand) |
| CO2 | Explain the types of memory systems and mapping functions used in memory systems <br> (Cognitive Knowledge Level: Understand) |
| CO3 | Demonstrate the control signals required for the execution of a given instruction <br> (Cognitive Knowledge Level: Apply) ) |
| CO4 | Illustrate the design of Arithmetic Logic Unit and explain the usage of registers in it <br> (Cognitive Knowledge Level: Apply) |
| CO5 | Explain the implementation aspects of arithmetic algorithms in a digital computer <br> (Cognitive Knowledge Level:Apply) |
| CO6 | Develop the control logic for a given arithmetic problem (Cognitive Knowledge <br> Level: Apply) |

## Mapping of course outcomes with program outcomes

|  | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| CO1 |  |  |  |  |  |  |  |  |  |  |  |  |
| C02 |  |  |  |  |  |  |  |  |  |  |  |  |
| C03 |  |  |  |  |  |  |  |  |  |  |  |  |
| CO4 |  |  |  |  |  |  |  |  |  |  |  |  |
| C05 |  |  |  |  |  |  |  |  |  |  |  |  |
| C06 |  |  |  |  |  |  |  |  |  |  |  |  |


| Abstract POs defined by National Board of Accreditation |  |  |  |
| :---: | :--- | :---: | :--- |
| PO\# | Broad PO | PO\# | Broad PO |
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| PO4 | Conduct investigations of complex <br> problems | PO10 | Communication |
| PO5 | Modern tool usage | PO11 | Project Management and Finance |
| PO6 | The Engineer and Society | PO12 | Life long learning |

## Assessment Pattern

| Bloom's Category | Continuous Assessment Tests |  | End Semester <br> Examination Marks (\%) |
| :--- | :---: | :---: | :---: |
|  | Test1 (\%) | Test2 (\%) |  |
| Remember | 20 | 20 | 30 |
| Understand | 40 | 40 | 40 |
| Apply | 40 | 40 |  |
| Analyze |  |  | 3 |


| Evaluate |  |  |  |
| :--- | :--- | :--- | :--- |
| Create |  |  |  |

## Mark Distribution

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
| :---: | :---: | :---: | :---: |
| 150 | 50 | 100 | 3 hours |

## Continuous Internal Evaluation Pattern:

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: 10 marks
Continuous Assessment Tests $: 25$ marks
Continuous Assessment Assignment : 15 marks

## Internal Examination Pattern:

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There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

## End Semester Examination Pattern:

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

## Syllabus

## Module 1

Basic Structure of computers - functional units - basic operational concepts - bus structures.
Memory locations and addresses - memory operations,Instructions and instruction sequencing, addressing modes.

Basic processing unit - fundamental concepts - instruction cycle - execution of a complete instruction - single bus and multiple bus organization

## Module 2

Register transfer logic: inter register transfer - arithmetic, logic and shift micro operations.
Processor logic design: - processor organization - Arithmetic logic unit - design of arithmetic circuit - design of logic circuit - Design of arithmetic logic unit - status register design of shifter - processor unit - design of accumulator.

## Module 3

Arithmetic algorithms: Algorithms for multiplication and division (restoring method) of binary numbers. Array multiplier , Booth's multiplication algorithm.
Pipelining: Basic principles, classification of pipeline processors, instruction and arithmetic pipelines (Design examples not required), hazard detection and resolution.

## Module 4

Control Logic Design: Control organization - Hard_wired control-microprogram control control of processor unit - Microprogram sequencer,micro programmed CPU organization horizontal and vertical micro instructions.

## Module 5

I/O organization: accessing of I/O devices - interrupts, interrupt hardware -Direct memory access.

Memory system: basic concepts - semiconductor RAMs. memory system considerations ROMs, Content addressable memory, cache memories - mapping functions.

## Text Books

1. Hamacher C., Z. Vranesic and S. Zaky, Computer Organization ,5/e, McGraw Hill, 2011
2. Mano M. M., Digital Logic \& Computer Design, PHI, 2004
3. KaiHwang, Faye Alye Briggs, Computer architecture and parallel processing McGrawHill, 1984

## Reference Books

1. Mano M. M., Digital Logic \& Computer Design, 3/e, Pearson Education, 2013.
2. Patterson D.A. and J. L. Hennessy, Computer Organization and Design, 5/e, Morgan Kaufmann Publishers, 2013.
3. William Stallings, Computer Organization and Architecture: Designing for Performance, Pearson, 9/e, 2013.
4. Chaudhuri P., Computer Organization and Design, 2/e, Prentice Hall, 2008.
5. Rajaraman V. and T. Radhakrishnan, Computer Organization and Architecture, Prentice Hall, 2011

## Sample Course Level Assessment Questions

Course Outcome1(CO1): Which are the registers involved in a memory access operation and how are they involved in it?

Course Outcome 2(CO2): Explain the steps taken by the system to handle a write miss condition inside the cache memory.

Course Outcome 3(CO3): Generate the sequence of control signals required for the execution of the instruction MOV [R1],R2 in a threebus organization.

Course Outcome 4(CO4): Design a 4-bit combinational logic shifter with 2 control signals H 0 and H 1 that perform the following operations :

| H1 | H0 | Operation |
| :---: | :---: | :--- |
| 0 | 0 | Transfer 1's to all output line |
| 0 | 1 | No shift operation |
| 1 | 0 | Shift left |
| 1 | 1 | Shift right |

Course Outcome 5(CO5): Explain the restoring algorithm for binary division. Also trace the algorithm to divide $(1001)_{2}$ by (11) $)_{2}$

Course Outcome 6(CO6): Design a software control logic based on microprogramed control to perform the addition of 2 signed numbers represented in sign magnitude form.

## Model Question Paper

QP CODE:
PAGES:2
Reg No: $\qquad$
Name: $\qquad$
APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY
THIRD SEMESTER B.TECH DEGREE EXAMINATION, MONTH \& YEAR
Course Code: CST 202
Course Name: Computer organization and architecture

## PART A

Answer all Questions. Each question carries 3 Marks

1. Give the significance of instruction cycle.
2. Distinguish between big endian and little endian notations. Also give the significance of these notations.
3. Compare I/O mapped I/O and memory mapped I/O.
4. Give the importance of interrupts in I/O interconnection.
5. Justify the significance of status register.
6. How does the arithmetic circuitry perform logical operations in an ALU.
7. Illustrate divide overflow with an example.
8. Write notes on arithmetic pipeline.
9. Briefly explain the role of micro program sequence.
10. Differentiate between horizontal and vertical micro instructions.

## Part B

Answer any one Question from each module. Each question carries 14 Marks
11.
11.(a) What is the significance of addressing modes in computer architecture.
11.(b) Write the control sequence for the instruction DIV R1,[R2] in a three bus structure.

## OR

12. Explain the concept of a single bus organization with help of a diagram. Write the control sequence for the instruction $\mathrm{ADD}[\mathrm{R} 1],[\mathrm{R} 2]$.
13. Explain various register transfer logics.
14. 

14.(a) Design a 4 bit combinational logic shifter with 2 control signals H 1 and H 2 that perform the following operations (bit values given in parenthesis are the values of control variable H1 and H2 respectively.) : Transfer of 0's to S (00), shift right (01), shift left (10), no shift (11).
14.(b) Design an ALU unit which will perform arithmetic and logic operation with a given binary adder.
15.
15.(a) Give the logic used behind Booth's multiplication algorithm.
15.(b) Identify the appropriate algorithm available inside the system to perform the multiplication between -14 and -9 . Also trace the algorithm for the above input.

## OR

16. 

16.(a) List and explain the different pipeline hazards and their possible solutions
16.(b) Design a combinational circuit for $3 \times 2$ multiplication.
17. Design a hardwared control unit used to perform addition/subtraction of 2 numbers represented in sign magnitude form.

## OR

18. Give the structure of the micro program sequencer and its role in sequencing the micro instructions.
19. 

19.(a) Explain the different ways in which interrupt priority schemes can be implemented
19.(b) Give the structure of SRAM cell.

OR
20.
20.(a) Explain the various mapping functions available in cache memory.
20.(b) Briefly explain content addressable memory.

| TEACHING PLAN |  |  |
| :---: | :---: | :---: |
| No | Contents | No of Lecture Hrs |
| Module 1 : (Basic Structure of computers) (9 hours) |  |  |
| 1.1 | Functional units, basic operational concepts,bus structures (introduction) | 1 |
| 1.2 | Memory locations and addresses, memory operations | 1 |
| 1.3 | Instructions and instruction sequencing | 1 |
| 1.4 | Addressing modes | 1 |
| 1.5 | Fundamental concepts of instruction execution, instruction cycle | 1 |
| 1.6 | Execution of a complete instruction - single bus organization (Lecture 1) | 1 |
| 1.7 | Execution of a complete instruction - single bus organization (Lecture 2) | 1 |
| 1.8 | Execution of a complete instruction - multiple bus organization (Lecture 1) | 1 |
| 1.9 | Execution of a complete instruction - multiple bus organization (Lecture 2) | 1 |
| Module 2 :(Register transfer logic and Processor logic design) (10 hours) |  |  |
| 2.1 | Inter register transfer - arithmetic micro operations | 1 |
| 2.2 | Inter register transfer - logic and shift micro operations | 1 |
| 2.3 | Processor organization | 1 |
| 2.4 | Design of arithmetic circuit | 1 |
| 2.5 | Design of logic circuit | 1 |
| 2.6 | Design of arithmetic logic unit | 1 |
| 2.7 | Design of status register | 1 |
| 2.8 | Design of shifter - processor unit | 1 |


| 2.9 | Design of accumulator (Lecture 1) | 1 |
| :---: | :---: | :---: |
| 2.10 | Design of accumulator (Lecture 2) | 1 |
| Module 3 : (Arithmetic algorithms and Pipelining) (9 hours) |  |  |
| 3.1 | Algorithm for multiplication of binary numbers | 1 |
| 3.2 | Algorithm for division (restoring method) of binary numbers | 1 |
| 3.3 | Array multiplier | 1 |
| 3.4 | Booth's multiplication algorithm | 1 |
| 3.5 | Pipelining: Basic principles | 1 |
| 3.6 | Classification of pipeline processors (Lecture 1) | 1 |
| 3.7 | Classification of pipeline processors (Lecture 2) | 1 |
| 3.8 | Instruction and arithmetic pipelines (Design examples not required) | 1 |
| 3.9 | Hazard detection and resolution | 1 |
| Module 4 :( Control Logic Design) (9 hours) |  |  |
| 4.1 | Control organization -design of hardwired control logic (Lecture 1) | 1 |
| 4.2 | Control organization -design of hardwired control logic (Lecture 2) | 1 |
| 4.3 | Control organization -design of hardwired control logic (Lecture 3) | 1 |
| 4.4 | Design of microprogram control logic-control of processor unit (Lecture 1) | 1 |
| 4.5 | Design of microprogram control logic-control of processor unit (Lecture2) | 1 |
| 4.6 | Design of microprogram control logic-control of processor unit (Lecture3) | 1 |
| 4.7 | Microprogram sequencer | 1 |
| 4.8 | Micro programmed CPU organization | 1 |
| 4.9 | Microinstructions -horizontal and vertical micro instructions | 1 |
| Module 5 : (Basic processing units, I/O and memory) (8 hours) |  |  |
| 5.1 | Accessing of I/O devices -interrupts | 1 |
| 5.2 | Interrupt hardware | 1 |


| 5.3 | Direct memory access | 1 |
| :---: | :--- | :---: |
| 5.4 | Memory system: basic concepts -semiconductor RAMs | 1 |
| 5.5 | Memory system considerations - ROMs | 1 |
| 5.6 | Content addressable memory | 1 |
| 5.7 | Cache memories -mapping functions (Lecture 1) | 1 |
| 5.8 | Cache memories -mapping functions (Lecture 2) | 1 |


$\left.\begin{array}{|c|c|c|c|c|c|c|}\hline \text { CST } & \begin{array}{c}\text { Database Management } \\ \text { Systems }\end{array} & \text { CATEGORY } & \text { L } & \text { T } & \text { P } & \text { CREDIT } \\ \text { YNTROR OF } \\ \text { INTION }\end{array}\right]$

Preamble: This course provides a clear understanding of fundamental principles of Database Management Systems (DBMS) with special focus on relational databases to the learners. The topics covered in this course are basic concepts of DBMS, Entity Relationship (ER) model, Relational Database principles, Relational Algebra, Structured Query Language (SQL), Physical Data Organization, Normalization and Transaction Processing Concepts. The course also gives a glimpse of the alternative data management model, NoSQL. This course helps the learners to manage data efficiently by identifying suitable structures to maintain data assets of organizations and to develop applications that utilize database technologies.

Prerequisite: Topics covered under the course Data Structures (CST 201), Exposure to a High Level Language like C/python.

Course Outcomes: After the completion of the course the student will be able to

| CO1 | Summarize and exemplify fundamental nature and characteristics of database systems <br> (Cognitive Knowledge Level: Understand) |
| :--- | :--- |
| CO2 | Model real word scenarios given as informal descriptions, using Entity Relationship <br> diagrams. (Cognitive Knowledge Level: Apply) |
| CO3 | Model and design solutions for efficiently representing and querying data using <br> relational model (Cognitive Knowledge Level: Analyze) |
| CO4 | Demonstrate the features of indexing and hashing in database applications (Cognitive <br> Knowledge Level: Apply) |
| CO5 | Discuss and compare the aspects of Concurrency Control and Recovery in Database <br> systems (Cognitive Knowledge Level: Apply) |
| CO6 | Explain various types of NoSQL databases (Cognitive Knowledge Level: <br> Understand) |

Mapping of course outcomes with program outcomes

|  | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| CO1 |  |  |  |  |  |  |  |  |  |  |  |  |
| CO2 |  |  |  |  |  |  |  |  |  |  |  |  |
| C03 |  |  |  |  |  |  |  |  |  |  |  |  |
| CO4 |  |  |  |  |  |  |  |  |  |  |  |  |
| $\operatorname{CO5}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| C06 |  |  |  |  |  |  |  |  |  |  |  |  |


| Abstract POs defined by National Board of Accreditation |  |  |  |
| :---: | :--- | :---: | :--- |
| PO\# | Broad PO | PO\# | Broad PO |
| PO1 | Engineering Knowledge | PO7 | Environment and Sustainability |
| PO2 | Problem Analysis | PO8 | Ethics |
| P03 | Design/Development of solutions | PO9 | Individual and team work |
| PO4 | Conduct investigations of complex <br> problems | PO10 | Communication |
| PO5 | Modern tool usage | PO11 | Project Management and Finance |
| PO6 | The Engineer and Society | PO12 | Life long learning |

## Assessment Pattern

| Bloom's Category | Continuous Assessment Tests |  | End Semester <br> Examination Marks <br> (\%) |
| :--- | :---: | :---: | :---: |
|  | Test1 (\%) | Test2 (\%) | (\%) |
| Remember | 30 | 30 | 40 |
| Understand | 40 | 40 | 30 |
| Apply | 30 | 30 |  |


| Analyze |  |  |  |
| :--- | :--- | :--- | :--- |
| Evaluate |  |  |  |
| Create |  |  |  |

## Mark Distribution

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
| :---: | :---: | :---: | :---: |
| 150 | 50 | 100 | 3 hours |

## Continuous Internal Evaluation Pattern:

| Attendance | $: 10$ marks |  |
| :--- | :--- | :--- |
| Continuous Assessment Tests |  | $: 25$ marks |
| Continuous Assessment Assignment |  | $: 15$ marks |
| Internal Examination Pattern: |  |  |

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

## End Semester Examination Pattern:

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

## Syllabus

## Module 1: Introduction \& Entity Relationship (ER) Model

Concept \& Overview of Database Management Systems (DBMS) - Characteristics of Database system, Database Users, structured, semi-structured and unstructured data. Data Models and Schema - Three Schema architecture. Database Languages, Database architectures and classification.

ER model - Basic concepts, entity set \& attributes, notations, Relationships and constraints, cardinality, participation, notations, weak entities, relationships of degree 3 .

Module 2: Relational Model
Structure of Relational Databases - Integrity Constraints, Synthesizing ER diagram to relational schema

Introduction to Relational Algebra - select, project, cartesian product operations, join - Equi-join, natural join. query examples, introduction to Structured Query Language (SQL), Data Definition Language (DDL), Table definitions and operations - CREATE, DROP, ALTER, INSERT, DELETE, UPDATE.

## Module 3: SQL DML (Data Manipulation Language), Physical Data Organization

SQL DML (Data Manipulation Language) - SQL queries on single and multiple tables, Nested queries (correlated and non-correlated), Aggregation and grouping, Views, assertions, Triggers, SQL data types.

Physical Data Organization - Review of terms: physical and logical records, blocking factor, pinned and unpinned organization. Heap files, Indexing, Singe level indices, numerical examples, Multi-level-indices, numerical examples, B-Trees \& B+-Trees (structure only, algorithms not required), Extendible Hashing, Indexing on multiple keys - grid files.

## Module 4: Normalization

Different anomalies in designing a database, The idea of normalization, Functional dependency, Armstrong's Axioms (proofs not required), Closures and their computation, Equivalence of Functional Dependencies (FD), Minimal Cover (proofs not required). First Normal Form (1NF), Second Normal Form (2NF), Third Normal Form (3NF), Boyce Codd Normal Form (BCNF), Lossless join and dependency preserving decomposition, Algorithms for checking Lossless Join (LJ) and Dependency Preserving (DP) properties.

## Module 5: Transactions, Concurrency and Recovery, Recent Topics

Transaction Processing Concepts - overview of concurrency control, Transaction Model, Significance of concurrency Control \& Recovery, Transaction States, System Log, Desirable Properties of transactions.
Serial schedules, Concurrent and Serializable Schedules, Conflict equivalence and conflict serializability, Recoverable and cascade-less schedules, Locking, Two-phase locking and its variations. Log-based recovery, Deferred database modification, check-pointing.

Introduction to NoSQL Databases, Main characteristics of Key-value DB (examples from: Redis), Document DB (examples from: MongoDB)

Main characteristics of Column - Family DB (examples from: Cassandra) and Graph DB (examples from : ArangoDB)

## Text Books

1. Elmasri R. and S. Navathe, Database Systems: Models, Languages, Design and Application Programming, Pearson Education, 2013.
2. Sliberschatz A., H. F. Korth and S. Sudarshan, Database System Concepts, 6/e, McGraw Hill, 2011.

Reference Books:

1. Adam Fowler, NoSQL for Dummies, John Wiley \& Sons, 2015
2. NoSQL Data Models: Trends and Challenges (Computer Engineering: Databases and Big Data), Wiley, 2018
3. Web Resource: https://www.w3resource.com/redis/
4. web Resource: https://www.w3schools.in/category/mongodb/
5. Web Resource: https://www.tutorialspoint.com/cassandra/cassandra_introduction.htm
6. Web Resource : https://www.tutorialspoint.com/arangodb/index.htm

## Sample Course Level Assessment Questions

## Course Outcome1 (CO1):

1. List out any three salient features of database systems, which distinguish it from a file system.
2. Give one example each for logical and physical data independence.

## Course Outcome 2(CO2):

1. What facts about the relationships between entities EMPLOYEE and PROJECT are conveyed by the following ER diagram?

2. Design an ER diagram for the following scenario:

There is a set of teams, each team has an ID (unique identifier), name, main stadium, and to which city this team belongs. Each team has many players, and each player belongs to one team. Each player has a number (unique identifier), name, DoB, start year, and shirt number that he uses. Teams play matches, in each match there is a host team and a guest team.

## Course Outcome 3(CO3):

1. For the SQL query, SELECT $A, B$ FROM $R$ WHERE $B=$ 'apple' $A N D C=$ 'orange' on the table $\mathrm{R}(\mathrm{A}, \mathrm{B}, \mathrm{C}, \mathrm{D})$, where A is a key, write any three equivalent relational algebra expressions.
2. Given the $\mathrm{FDs} \mathrm{P} \rightarrow \mathrm{Q}, \mathrm{P} \rightarrow \mathrm{R}, \mathrm{QR} \rightarrow \mathrm{S}, \mathrm{Q} \rightarrow \mathrm{T}, \mathrm{QR} \rightarrow \mathrm{U}, \mathrm{PR} \rightarrow \mathrm{U}$, write the sequence of Armstrong's Axioms needed to arrive at the following FDs: (a) $\mathrm{P} \rightarrow \mathrm{T}$ (b) PR $\rightarrow \mathrm{S}$ (c) QR $\rightarrow$ SU
3. Consider a relation PLAYER (PLAYER-NO, PLAYER-NAME, PLAYER-POSN, TEAM, TEAM-COLOR, COACH-NO, COACH-NAME, TEAM-CAPTAIN). Assume that PLAYER-NO is the only key of the relation and that the following dependencies hold:

$$
\begin{aligned}
& \text { TEAM } \rightarrow\{\text { TEAM-COLOR, COACH-NO, TEAM-CAPTAIN }\} \\
& \text { COACH-NO } \rightarrow \text { COACH-NAME. }
\end{aligned}
$$

i. Is the relation in 2NF? If not, decompose to 2 NF .
ii. Is the relation in 3 NF ? If not, decompose to 3 NF .
4. In the following tables foreign keys have the same name as primary keys except DIRECTED-BY, which refers to the primary key ARTIST-ID. Consider only singledirector movies.
MOVIES(MOVIE-ID, MNAME, GENRE, LENGTH, DIRECTED-BY)
ARTIST(ARTIST-ID, ANAME)
ACTING(ARTIST-ID, MOVIE-ID)
Write SQL expressions for the following queries:
(a) Name(s) and director name(s) of movie(s) acted by 'Jenny'.
(b) Names of actors who have never acted with 'Rony'
(c) Count of movies genre-wise.
(d) Name(s) of movies with maximum length.

## Course Outcome 4(CO4):

1. Consider an EMPLOYEE file with 10000 records where each record is of size 80 bytes. The file is sorted on employee number ( 15 bytes long), which is the primary key. Assuming un-spanned organization, block size of 512 bytes and block pointer size of 5 bytes. Compute the number of block accesses needed for retrieving an employee record based on employee number if (i) No index is used (ii) Multi-level primary index is used.

## Course Outcome 5(CO5):

1. Determine if the following schedule is recoverable. Is the schedule cascade-less? Justify your answer. $r 1(X), r 2(Z), r 1(Z), r 3(X), r 3(Y), w 1(X), c 1, w 3(Y), c 3, r 2(Y), w 2(Z), w 2(Y)$, c2. (Note: ri(X)/wi(X) means transaction $T i$ issues read/write on item X ; ci means transaction Ti commits.)
2. Two-phase locking protocol ensures serializability. Justify.

## Course Outcome 6(CO6):

1. List out any three salient features of NoSQL databases. Give example of a document in MongoDB.

## Model Question paper

## QPCODE

Reg No: $\qquad$
Name: $\qquad$

## APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY

## FOURTH SEMESTER B.TECH DEGREE EXAMINATION, MONTH \& YEAR

## Course Code: CST 204

## Course Name: Database Management Systems

Max.Marks:100
Duration: 3 Hours

## PART A

Answer all Questions. Each question carries 3 Marks
1 List out any three salient features of a database systems.
2 When is multi-valued composite attribute used in ER modelling?
3 For the SQL query, SELECT $A, B$ FROM $R$ WHERE $B=$ 'apple' $A N D C=$ 'orange' on the table $\mathrm{R}(\mathrm{A}, \mathrm{B}, \mathrm{C}, \mathrm{D})$, where A is a key, write any two equivalent relational algebra expressions.

4 Outline the concept of theta-join.
5 How is the purpose of where clause is different from that of having clause?
6 What is the use of a trigger?
7 When do you say that a relation is not in 1NF?
8 Given the $\mathrm{FDs} \mathrm{P} \rightarrow \mathrm{Q}, \mathrm{P} \rightarrow \mathrm{R}, \mathrm{QR} \rightarrow \mathrm{S}, \mathrm{Q} \rightarrow \mathrm{T}, \mathrm{QR} \rightarrow \mathrm{U}, \mathrm{PR} \rightarrow \mathrm{U}$, write the sequence of Armstrong's Axioms needed to arrive at a. $\mathrm{P} \rightarrow \mathrm{T} \quad$ b. $\mathrm{PR} \rightarrow \mathrm{S}$

9 What is meant by the lost update problem?
10 What is meant by check pointing?

## PART B

## Answer any one Question from each module. Each question carries 14 Marks

11 a. Design an ER diagram for the following scenario: There is a set of teams, each team has an ID (unique identifier), name, main stadium, and to which city this team belongs. Each team has many players, and each player belongs to one team. Each player has a number (unique identifier), name, DoB, start year, and shirt number that he uses. Teams play matches, in each match there is a host team and a guest team. The match takes place in the stadium of the host team. For each match we need to keep track of the following: The date on which the game is played The final result of the match. The players participated in the match. For each player, how many goals he scored, whether or not he took yellow card, and whether or not he took red card. During the match, one player may substitute another player. We want to capture this substitution and the time at which it took place. Each match has exactly three referees. For each referee we have an ID (unique identifier), name, DoB , years of experience. One referee is the main referee and the other two are assistant referee.

## OR

12 a. Interpret the the following ER diagram.
b. Distinguish between physical data independence and logical data independence with suitable examples.

13 EMPLOYEE(ENO, NAME, ADDRESS, DOB, AGE, GENDER, SALARY, DNUM, SUPERENO)
DEPARTMENT(DNO, DNAME, DLOCATION, DPHONE, MGRENO) PROJECT(PNO, PNAME, PLOCATION, PCOST, CDNO)

DNUM is a foreign key that identifies the department to which an employee belongs. MGRENO is a foreign key identifying the employee who manages the department. CDNO is a foreign key identifying the department that controls the project. SUPERENO is a foreign key identifying the supervisor of each employee.

Write relational algebra expressions for the following queries:-
(a) Names of female employees whose salary is more than 20000.
(b) Salaries of employee from 'Accounts' department
(c) Names of employees along with his/her superviser's name
(d) For each employee return name of the employee along with his department name and the names of projects in which he/she works
(e) Names of employees working in all the departments

## OR

a.Write SQL DDL statements for the the following (Assume suitable domain types):
i. Create the tables STUDENT(ROLLNO, NAME, CLASS, SEM, ADVISER), FACULTY(FID, NAME, SALARY, DEPT). Assume that ADVISER is a foreign key referring FACUTY table.
ii. Delete department with name 'CS' and all employees of the department.
iii. Increment salary of every faculty by $10 \%$.
b.Illustrate foreign key constraint with a typical example.

15 For the relation schema below, give an expression in SQL for each of the queries that follows:

```
employee(employee-name, street, city)
works(employee-name, company-name, salary)
company(company-name, city)
manages(employee-name, manager-name)
```

a) Find the names, street address, and cities of residence for all employees who work for the Company 'RIL Inc.' and earn more than $\$ 10,000$.
b) Find the names of all employees who live in the same cities as the companies for which they work.
c) Find the names of all employees who do not work for 'KYS Inc.'. Assume that all people work for exactly one company.
d) Find the names of all employees who earn more than every employee of 'SB Corporation'. Assume that all people work for at most one company.
e) List out number of employees company-wise in the decreasing order of number of employees.

## OR

a. Consider an EMPLOYEE file with 10000 records where each record is of size 80 bytes. The file is sorted on employee number ( 15 bytes long), which is the primary key. Assuming un-spanned organization and block size of 512 bytes compute the number of block accesses needed for selecting records based on employee number if,
i. No index is used
ii. Single level primary index is used
iii. Multi-level primary index is used

Assume a block pointer size of 6 bytes.
b. Illustrate correlated and non-correlated nested queries with real examples.
a. Illstrate 3 NF and BCNF with suitable real examples.
b. Given a relation $\mathrm{R}(\mathrm{A} 1, \mathrm{~A} 2, \mathrm{~A} 3, \mathrm{~A} 4, \mathrm{~A} 5)$ with functional dependencies $\mathrm{A} 1 \rightarrow \mathrm{~A} 2 \mathrm{~A} 4$ and $\mathrm{A} 4 \rightarrow \mathrm{~A} 5$, check if the decomposition $\mathrm{R} 1(\mathrm{~A} 1, \mathrm{~A} 2, \mathrm{~A} 3)$, $\mathrm{R} 2(\mathrm{~A} 1, \mathrm{~A} 4), \mathrm{R} 3(\mathrm{~A} 2, \mathrm{~A} 4, \mathrm{~A} 5)$ is lossless.

## OR

a. Consider the un-normalized relation $\mathrm{R}(\mathrm{A}, \mathrm{B}, \mathrm{C}, \mathrm{D}, \mathrm{E}, \mathrm{F}, \mathrm{G})$ with the FDs $\mathrm{A} \rightarrow \mathrm{B}, \mathrm{AC} \rightarrow \mathrm{G}, \mathrm{AD} \rightarrow \mathrm{EF}, \mathrm{EF} \rightarrow \mathrm{G}, \mathrm{CDE} \rightarrow \mathrm{AB}$. Trace the normalization process to reach 3 NF relations.
b. Illustrate Lossless Join Decomposition and Dependency Preserving Decomposition with typical examples.

19 a. Discuss the four ACID properties and their importance.
b. Determine if the following schedule is conflict serializable. Is the schedule recoverable? Is the schedule cascade-less? Justify your answers.
r1(X), r2(Z), r1(Z), r3(X), r3(Y ), w1(X), c1, w3(Y), c3, r2(Y), w2(Z), w2(Y), c2
(Note: $\mathrm{ri}(\mathrm{X}) /$ wi(X) means transaction Ti issues read/write on item X ; ci means transaction Ti commits.)

## OR

a. Discuss the main characteristics of Key-value DB and Graph DB.
b. Illustrate two-phase locking with a schedule containing three transactions.

Argue that 2 PL ensures serializability. Also argue that 2 Pl can lead to deadlock.

## Teaching Plan

|  | Course Name | Hours (48) |
| :---: | :---: | :---: |
|  | Module 1: Introduction \& ER Model | 8 |
| 1.1 | Concept \& Overview of DBMS, Characteristics of DB system, Database Users. | 1 |
| 1.2 | Structured, semi-structured and unstructured data. Data Models and Schema | 1 |
| 1.3 | Three-Schema-architecture. Database Languages | 1 |
| 1.4 | Database architectures and classification | 1 |
| 1.5 | ER model: basic concepts, entity set \& attributes, notations | 1 |
| 1.6 | Relationships and constraints - cardinality, participation, notations | 1 |
| 1.7 | Weak entities, relationships of degree 3 | 1 |
| 1.8 | ER diagram - exercises | 1 |
|  | Module 2: Relational Model | 7 |
| 2.1 | Structure of relational Databases, Integrity Constraints | 1 |
| 2.2 | Synthesizing ER diagram to relational schema, Introduction to relational algebra. | 1 |
| 2.3 | Relational algebra: select, project, Cartesian product operations | 1 |
| 2.4 | Relational Algebra: join - Equi-join, Natural join | 1 |
| 2.5 | Query examples | 1 |
| 2.6 | Introduction to SQL, important data types | 1 |
| 2.7 | DDL, Table definitions and operations - CREATE, DROP, ALTER, INSERT, DELETE, UPDATE | 1 |
|  | Module 3: SQL DML, Physical Data Organization | 11 |
| 3.1 | SQL DML, SQL queries on single and multiple tables | 1 |
| 3.2 | Nested queries (correlated and non-correlated) | 1 |
| 3.3 | Aggregation and grouping | 1 |


|  | Course Name | Hours (48) |
| :---: | :---: | :---: |
| 3.4 | Views, assertions (with examples) | 1 |
| 3.5 | Triggers (with examples), SQL data types | 1 |
| 3.6 | Review of terms: physical and logical records, blocking factor, pinned and unpinned organization. Heap files, Indexing | 1 |
| 3.7 | Singe level indices, numerical examples | 1 |
| 3.8 | Multi-level-indices, numerical examples | 1 |
| 3.9 | B-Trees and B+Trees (structure only, algorithms not required) | 1 |
| 3.10 | Extendible Hashing | 1 |
| 3.11 | Indexing on multiple keys - grid files | 1 |
|  | Module 4: Normalization | 8 |
| 4.1 | Different anomalies in designing a database, The idea of normalization | 1 |
| 4.2 | Functional dependency, Armstrong's Axioms (proofs not required) | 1 |
| 4.3 | Closures and their computation, Equivalence of FDs, minimal Cover (proofs not required). | 1 |
| 4.4 | 1NF, 2NF | 1 |
| 4.5 | 3NF, BCNF | 1 |
| 4.6 | Lossless join and dependency preserving decomposition | 1 |
| 4.7 | Algorithms for checking Lossless Join and Dependency preserving properties (Lecture 1) | 1 |
| 4.8 | Algorithms for checking Lossless Join and Dependency preserving properties (Lecture 2) | 1 |
|  | Module 5: Transactions, Concurrency and Recovery, Recent Topics | 14 |
| 5.1 | Transaction Processing Concepts: Transaction Model | 1 |
| 5.2 | Overview of concurrency control, Significance of concurrency Control \& Recovery | 1 |
| 5.3 | Transaction States, System Log | 1 |


|  | Course Name | Hours <br> $\mathbf{( 4 8 )}$ |
| :---: | :--- | :---: |
| 5.4 | Desirable Properties of transactions, Serial schedules | 1 |
| 5.5 | Concurrent and Serializable Schedules | 1 |
| 5.6 | Conflict equivalence and conflict serializability | 1 |
| 5.7 | Recoverable and cascade-less schedules | 1 |
| 5.8 | Locking, Two-phase locking, strict 2PL. | 1 |
| 5.9 | Log-based recovery | 1 |
| 5.10 | Deferred database modification (serial schedule), example | 1 |
| 5.11 | Deferred database modification (concurrent schedule) example, <br> check-pointing | 1 |
| 5.12 | Introduction to NoSQL Databases |  |
| 5.13 | Main characteristics of Key-value DB (examples from: Redis), <br> Document DB (examples from: MongoDB) [detailed study not <br> expected] | 1 |
| 5.14 | Main characteristics of Column-Family DB (examples from: <br> Cassandra) and Graph DB (examples from : ArangoDB) [detailed <br> study not expected] | 1 |


| CST | OPERATING SYSTEMS | Category | L | T | $\mathbf{P}$ | Credit | Year of Introduction |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 206 |  | PCC | 3 | 1 | 0 | 4 | 2019 |

Preamble: Study of operating system is an essential to understand the overall working of computer system, tradeoffs between performance and functionality and the division of jobs between hardware and software. This course introduces the concepts of memory management, device management, process management, file management and security \& protection mechanisms available in an operating system. The course helps the learner to understand the fundamentals about any operating system design so that they can extend the features of operating system to detect and solve many problems occurring in operating system and to manage the computer resources appropriately.

Prerequisite: Topics covered in the courses are Data Structures (CST 201) and Programming in C (EST 102)

Course Outcomes: After the completion of the course the student will be able to

| CO 1 | Explain the relevance, structure and functions of Operating Systems in computing <br> devices. (Cognitive knowledge: Understand) |
| :---: | :--- |
| CO 2 | Illustrate the concepts of process management and process scheduling mechanisms <br> employed in Operating Systems. (Cognitive knowledge: Understand) |
| CO 3 | Explain process synchronization in Operating Systems and illustrate process <br> synchronization mechanisms using Mutex Locks, Semaphores and Monitors <br> (Cognitive knowledge: Understand) |
| CO 4 | Explain any one method for detection, prevention, avoidance and recovery for <br> managing deadlocks in Operating Systems. (Cognitive knowledge: Understand) |
| CO 5 | Explain the memory management algorithms in Operating Systems. (Cognitive <br> knowledge: Understand) |
| CO 6 | Explain the security aspects and algorithms for file and storage management in <br> Operating Systems. (Cognitive knowledge: Understand) |

Mapping of course outcomes with program outcomes

|  | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| CO1 | 0 | $\bigcirc$ | 0 |  |  |  |  |  |  | ( |  | $\bigcirc$ |
| CO2 | 0 | 0 | $\theta$ | $\theta$ |  |  |  |  |  | 0 |  | $\bigcirc$ |
| CO3 | $\bigcirc$ | 0 | 0 | $\bigcirc$ |  |  |  |  |  | 0 |  | $\bigcirc$ |
| CO4 | ( | 0 | ( | ( |  |  |  |  |  | 0 |  | $\bigcirc$ |
| CO5 | $\bigcirc$ | 0 | $\bigcirc$ | $\bigcirc$ |  |  |  |  |  | $\bigcirc$ |  | $\bigcirc$ |
| CO6 | $\bigcirc$ | 0 | $\bigcirc$ | $\bigcirc$ |  |  |  |  |  | $\bigcirc$ |  | $\bigcirc$ |


| Abstract POs defined by National Board of Accreditation |  |  |  |
| :--- | :--- | :--- | :--- |
| PO\# | Broad PO | PO\# | Broad PO |
| PO1 | Engineering Knowledge | PO7 | Environment and Sustainability |
| PO2 | Problem Analysis | PO8 | Ethics |
| PO3 | Design/Development of solutions | PO9 | Individual and team work |
| PO4 | Conduct investigations of complex <br> problems | PO10 | Communication |
| PO5 | Modern tool usage | PO11 | Project Management and Finance |
| PO6 | The Engineer and Society | PO12 | Life long learning |

## Assessment Pattern

| Bloom's Category | Test 1 (Marks <br> in percentage) | Test 2 (Marks <br> in percentage) | End Semester Examination <br> (Marks in percentage) |
| :--- | :---: | :---: | :---: |
| Remember | 30 | 30 | 30 |
| Understand | 30 | 30 | 30 |
| Apply | 40 | 40 | 40 |
| Analyse |  |  |  |
| Evaluate |  |  |  |
| Create |  |  |  |


| Total Marks | CIE Marks | ESE Marks | ESE Duration |
| :---: | :---: | :---: | :---: |
| 150 | 50 | 100 | 3 |

## Continuous Internal Evaluation Pattern:

| Attendance | $: 10$ marks |
| :--- | :--- |
| Continuous Assessment Test | $: 25$ marks |
| Continuous Assessment Assignment | $: 15$ marks |

## Internal Examination Pattern:

Each of the two internal examinations has to be conducted out of 50 marks. First series test shall be preferably conducted after completing the first half of the syllabus and the second series test shall be preferably conducted after completing remaining part of the syllabus. There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly completed module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly completed module), each with 7 marks. Out of the 7 questions, a student should answer any 5 .

## End Semester Examination Pattern:

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

## Syllabus

## Module I

Introduction: Operating system overview - Operations, Functions, Service - System calls, Types - Operating System structure - Simple structure, Layered approach, Microkernel, Modules - System boot process.

## Module II

Processes - Process states, Process control block, threads, scheduling, Operations on processes process creation and termination - Inter-process communication - shared memory systems, Message passing systems.

Process Scheduling - Basic concepts- Scheduling criteria -scheduling algorithms- First come First Served, Shortest Job Firs, Priority scheduling, Round robin scheduling

## Module III

Process synchronization- Race conditions - Critical section problem - Peterson's solution, Synchronization hardware, Mutex Locks, Semaphores, Monitors - Synchronization problems Producer Consumer, Dining Philosophers and Readers-Writers.

Deadlocks: Necessary conditions, Resource allocation graphs, Deadlock prevention, Deadlock avoidance - Banker's algorithms, Deadlock detection, Recovery from deadlock.

## Module IV

Memory Management: Concept of address spaces, Swapping, Contiguous memory allocation, fixed and variable partitions, Segmentation, Paging. Virtual memory, Demand paging, Page replacement algorithms.

## Module V

File System: File concept - Attributes, Operations, types, structure - Access methods, Protection. File-system implementation, Directory implementation. Allocation methods.
Storage Management: Magnetic disks, Solid-state disks, Disk Structure, Disk scheduling, Disk formatting.

## Text Book

Abraham Silberschatz, Peter Baer Galvin, Greg Gagne, ' Operating System Concepts' ${ }^{\text {9th }}$ Edition, Wiley India 2015.

## Reference Books:

1. Andrew S Tanenbaum, "Modern Operating Systems", 4th Edition, Prentice Hall, 2015.
2. William Stallings, "Operating systems", $6^{\text {th }}$ Edition, Pearson, Global Edition, 2015.
3. Garry Nutt, Nabendu Chaki, Sarmistha Neogy, "Operating Systems", 3rd Edition, Pearson Education.
4. D.M.Dhamdhere, "Operating Systems", 2nd Edition, Tata McGraw Hill, 2011.
5. Sibsankar Haldar, Alex A Aravind, "Operating Systems", Pearson Education.

## Sample Course Level Assessment Questions

Course Outcome1 (CO1): What is the main advantage of the micro kernel approach to system design? How do user program and system program interact in a microkernel architecture?

Course Outcome 2 (CO2): Define process. With the help of a neat diagram explain different states of process.

Course Outcome 3 (CO3): What do you mean by binary semaphore and counting semaphore? With C, explain implementation of wait () and signal().

Course Outcome 4 (CO4): Describe resource allocation graph for the following. a) with a deadlock b) with a cycle but no deadlock.

Course Outcome 5 (CO5): Consider the following page reference string 1, 2, 3, 4, 2, 1, 5, 6, 2, $1,2,3,7,6,3,2,1,2,3,6$. Find out the number of page faults if there are 4 page frames, using the following page replacement algorithms. i) LRU ii) FIFO iii) Optimal

Course Outcome 6 (CO6): Explain the different file allocation methods with advantages and disadvantages.

## Model Question Paper

QP CODE:
PAGES: $\qquad$

Reg No: $\qquad$
Name: $\qquad$

# APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY FOURTH SEMESTER B.TECH DEGREE EXAMINATION, MONTH \& YEAR 

## Course Code: CST 206

## Course name : OPERATING SYSTEMS

Max Marks: 100
Duration: 3 Hours

## PART-A

(Answer All Questions. Each question carries 3 marks)

1. How does hardware find the Operating System kernel after system switch-on?
2. What is the purpose of system call in operating system?
3. Why is context switching considered as an overhead to the system?
4. How is inter process communication implement using shared memory?
5. Describe resource allocation graph for the following.
a) with a deadlock
b)with a cycle but no deadlock.
6. What is critical section? What requirement should be satisfied by a solution to the critical section problem?
7. Consider the reference string $1,2,3,4,2,1,5,6,2,1,2,3,7,6,3,2,1,2,3,6$. How many page faults occur while using FCFS for the following cases.
a) frame $=2$
b) frame $=3$
8. Differentiate between internal and external fragmentations.
9. Compare sequential access and direct access methods of storage devices.
10. Define the terms (i) Disk bandwidth (ii) Seek time.

## PART-B(Answer any one question from each module)

11. a) Explain the following structures of operating system (i) Monolithic systems (ii) Layered Systems (iii) Micro Kernel (iv) Modular approach.
b) Under what circumstances would a user be better of using a time sharing system than a PC or a single user workstation?

OR
12. a) What is the main advantage of the micro kernel approach to system design? How do user program and system program interact in a microkernel architecture?
b) Describe the differences between symmetric and asymmetric multiprocessing? What are the advantages and disadvantages of multiprocessor systems?
13. a) Define process. With the help of a neat diagram explain different states of process.
b) Explain how a new process can be created in Unix using fork system call.

## OR

14 a) Find the average waiting time and average turnaround time for the processes given in the table below using:- i) SRT scheduling algorithm ii) Priority scheduling algorithm

Process
$\begin{array}{cccc}\text { P1 } & 0 & 5 & 3 \\ \text { P2 } & 2 & 4 & 1 \\ \text { P3 } & 3 & 1 & 2 \\ \text { P4 What is a Process Control Block? Explain the fields used in a Process Control Block. }\end{array}$
Arrival Time (ms)
CPU Burst Time (ms)
Priority
15. Consider a system with five processes $P_{0}$ through $P_{4}$ and three resources of type $A, B, C$. Resource type A has 10 instances, B has 5 instances and C has 7 instances. Suppose at time $t_{0}$ following snapshot of the system has been taken:

| Process | Allocation | Max | Available |
| :---: | :---: | :---: | :---: |
|  | A B C | A B C | A B C |
| P0 | 010 | $\begin{array}{llll}7 & 5 & 3\end{array}$ | 332 |
| $\mathrm{P}_{1}$ | 200 | 322 |  |
| $\mathrm{P}_{2}$ | 302 | 902 |  |
| $\mathrm{P}_{3}$ | 211 | 222 |  |
| $\mathrm{P}_{4}$ | 002 | 433 |  |

i) What will be the content of the Need matrix? Is the system in a safe state? If Yes, then what is the safe sequence?
iii) What will happen if process $P_{1}$ requests one additional instance of resource type $A$ and two instances of resource type C?

## OR

16. a) State dining philosopher's problem and give a solution using semaphores.
b) What do you mean by binary semaphore and counting semaphore? With C struct, explain implementation of wait () and signal()
17. a) Consider the following page reference string $1,2,3,4,2,1,5,6,2,1,2,3,7,6,3,2,1,2$, 3, 6 . Find out the number of page faults if there are 4 page frames, using the following page replacement algorithms i) LRU ii) FIFO iii) Optimal
b) Explain the steps involved in handling a page fault.

## OR

18. a) With a diagram, explain how paging is done with TLB.
b) Memory partitions of sizes $100 \mathrm{~kb}, 500 \mathrm{~kb}, 200 \mathrm{~kb}, 300 \mathrm{~kb}, 600 \mathrm{~kb}$ are available, how would best ,worst and first fit algorithms place processes of size $212 \mathrm{~kb}, 417 \mathrm{~kb}, 112 \mathrm{~kb}$, 426 kb in order. Rank the algorithms in terms of how efficiently they uses memory.
19. a) Suppose that a disk drive has 5000 cylinders, numbered 0 to 4999 . the drive currently services a request at cylinder 143, and the previous request was at cylinder 125. the queue of pending request in FIFO order is $86,1470,913,1774,948,1509,1022,1750,130$. Starting from the current position, what is the total distance (in cylinders) that the disk arm moves to satisfy all pending requests for each of the following algorithms
i) FCFS
ii) SSFT
iii) SCAN
iv) LOOK
v) C-SCAN
b) What is the use of access matrix in protection mechanism?

## OR

20. a) Explain the different file allocation operations with advantages and disadvantages.
b) Explain the following i) file types ii) file operation iii) file attributes

## Teaching Plan

|  | Module 1 - Introduction | $\mathbf{5}$ Hours |
| :---: | :--- | :---: |
| 1.1 | Introduction to Operating System | 1 |
| 1.2 | Operating System operations, functions, service | 1 |
| 1.3 | System calls, Types | 1 |
| 1.4 | Operating System Structure: Simple, Layered, Microkernel, Modules | 1 |
| 1.5 | System Boot Process | Module 2 - Processes and Process Scheduling |
|  | 9 Hours |  |
| 2.1 | Processes, Process states | 1 |
| 2.2 | Process Control Block, Threads | 1 |


| 2.3 | Scheduling | 1 |
| :---: | :---: | :---: |
| 2.4 | Operations on processes: process creation and termination | 1 |
| 2.5 | Inter-process communication: Shared memory systems, Message Passing | 1 |
| 2.6 | Process Scheduling - Basic concepts, Scheduling Criteria | 1 |
| 2.7 | Scheduling algorithms - Basics | 1 |
| 2.8 | First come First Served, Shortest Job First | 1 |
| 2.9 | Priority scheduling, Round Robin Scheduling | 1 |
|  | Module 3 - Process synchronization and Dead locks | 13 Hours |
| 3.1 | Process synchronization, Race conditions | 1 |
| 3.2 | Critical Section problem, Peterson's solution | 1 |
| 3.3 | Synchronization hardware, Mutex Locks L M A A A | 1 |
| 3.4 | Semaphores E | 1 |
| 3.5 | Monitors | 1 |
| 3.6 | Synchronization problem examples (Lecture 1) | 1 |
| 3.7 | Synchronization problem examples (Lecture 2) | 1 |
| 3.8 | Deadlocks: Necessary conditions, Resource Allocation Graphs | 1 |
| 3.9 | Deadlock prevention | 1 |
| 3.10 | Deadlock avoidance Estd | 1 |
| 3.11 | Banker's algorithm | 1 |
| 3.12 | Deadlock detection | 1 |
| 3.13 | Deadlock recovery | 1 |
|  | Module 4-Memory Management | 9 Hours |
| 4.1 | Memory Management: Concept of Address spaces | 1 |
| 4.2 | Swapping | 1 |
| 4.3 | Contiguous memory allocation, fixed and variable partitions | 1 |
| 4.4 | Segmentation. | 1 |
| 4.5 | Paging (Lecture 1) | 1 |
| 4.6 | Paging (Lecture 2) | 1 |
| 4.7 | Virtual memory, Demand Paging | 1 |


| 4.8 | Page replacement algorithms (Lecture 1) | 1 |
| :---: | :--- | :---: |
| 4.9 | Page replacement algorithms (Lecture 2) | 1 |
|  | Module 5 - File and Disk management | $\mathbf{9}$ Hours |
| 5.1 | File concept, Attributes, Operations, types, structure | 1 |
| 5.2 | Access methods | 1 |
| 5.3 | Protection | 1 |
| 5.4 | File-System implementation | 1 |
| 5.5 | Directory implementation | 1 |
| 5.6 | Allocation methods | 1 |
| 5.7 | Magnetic disks, Solid-state disks, Disk structure | 1 |
| 5.8 | Disk scheduling | 1 |
| 5.9 | Disk formatting | 1 |


| CODE | COURSE NAME | CATEGORY | L | T | P | CREDIT |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | EST $\mathbf{2 0 0}$ | DESIGN AND ENGINEERING |  | 2 | 0 | 0 |

## Preamble:

The purpose of this course is to
i) introduce the undergraduate engineering studentsthe fundamental principles of design engineering,
ii) make them understand the steps involved in the design process and
iii) familiarize them with the basic tools used and approaches in design.

Students are expected to apply design thinking in learning as well as while practicing engineering, which is very important and relevant for today. Case studies from various practical situations will help the students realize that design is not only concerned about the function but also many other factors like customer requirements, economics, reliability, etc. along with a variety of life cycle issues.

The course will help students to consider aesthetics, ergonomics and sustainability factors in designs and also to practice professional ethics while designing.

## Prerequisite:

Nil.The course will be generic to all engineering disciplines and will not require specialized preparation or prerequisites in any of the individual engineering disciplines.

## Course Outcomes:

After the completion of the course the student will be able to

| CO 1 | Explain the different concepts and principles involved in design engineering. |
| :--- | :--- |
| CO 2 | Apply design thinking while learning and practicing engineering. |
| CO 3 | Develop innovative, reliable, sustainable and economically viable designs <br> incorporating knowledge in engineering. |

## Mapping of course outcomes with program outcomes

|  | PO 1 | PO 2 | PO 3 | PO 4 | PO 5 | PO 6 | PO 7 | PO 8 | PO 9 | PO <br> $\mathbf{1 0}$ | PO <br> $\mathbf{1 1}$ | PO <br> $\mathbf{1 2}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| CO 1 | 2 | 1 |  |  |  |  | 1 |  |  | 1 |  |  |
| CO 2 | 2 |  |  |  | 1 |  | 1 |  |  |  | 2 |  |
| CO 3 |  |  | 2 |  |  | 1 | 1 |  | 2 | 2 |  | 1 |

## Assessment Pattern

## Continuous Internal Evaluation (CIE) Pattern:

## Attendance

$$
\text { : } 10 \text { marks }
$$

Continuous Assessment Test (2 numbers) : 25 marks
Assignment/Quiz/Course project

End Semester Examination (ESE) Pattern: There will be two parts; Part A and Part B.
Part A
: 30 marks
part B
: 70 marks
Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions.
Part B contains 2 case study questions from each module of which student should answer any one. Each question carry 14 marks and can have maximum 2 sub questions.

## Mark distribution

| Total Marks | CIE | ESE | ESE Duration |
| :--- | :--- | :--- | :--- |
| 150 | 50 | 100 | 3 hours |


| Bloom's Category | Continuous Assessment Tests |  | End Semester <br> Examination |
| :--- | :---: | :---: | :---: |
|  | $\mathbf{1}$ | $\mathbf{2}$ |  |
| Remember | 5 | 5 | 20 |
| Understand | 10 | 10 | 70 |
| Apply | 35 | 35 | - |
| Analyse | - | - | - |
| Evaluate | - | - | - |
| Create | - | - |  |

## Course Level Assessment Questions

Course Outcome 1 (CO1): Appreciate the different concepts and principles involved in design engineering.

1. State how engineering design is different from other kinds of design
2. List the different stages in a design process.
3. Describedesign thinking.
4. State the function of prototyping and proofing in engineering design.
5. Write notes on the following concepts in connection with design engineering 1) Modular Design,
2) Life Cycle Design , 3) Value Engineering, 4) Concurrent Engineering, and 5) Reverse Engineering
6. State design rights.

Course Outcome 2 (CO2) Apply design thinking while learning and practicing engineering.

1. Construct the iterative process for design thinking in developing simple products like a pen, umbrella, bag, etc.
2. Show with an example how divergent-convergent thinking helps in generating alternative designs and then how to narrow down to the best design.
3. Describe how a problem-based learning helps in creating better design engineering solutions.
4. Discuss as an engineer, how ethics play a decisive role in your designs

Course Outcome 3(CO3): Develop innovative, reliable, sustainable and economically viable designs incorporating different segments of knowledge in engineering.

1. Illustratethe development of any simple product by passing through the different stages of design process
2. Show thegraphical design communication with the help of detailed 2D or 3D drawings for any simple product.
3. Describe how to develop new designs for simple products through bio-mimicry.

## Model Question paper

## Page 1 of 2

Reg No.: $\qquad$ Name: $\qquad$
APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY THIRD/FOURTH SEMESTER B.TECH DEGREE EXAMINATION
Course Code: EST 200
Course Name: DESIGN AND ENGINEERING
Max. Marks: 100Duration: 3 Hours
PART A

Answer all questions, each question carries $\mathbf{3}$ marks Use only hand sketches
(1)Write aboutthe basic design process.
(2) Describe how to finalize the design objectives.
(3) State the role of divergent-convergent questioning in design thinking.
(4) Discuss how to perform design thinking in a team managing the conflicts.
(5) Show how engineering sketches and drawings convey designs.
(6)Explain the role of mathematics and physics in design engineering process.
(7) Distinguish between project-based learning and problem-based learning in design engineering.
(8) Describe how concepts like value engineering , concurrent engineering and reverse engineering influence engineering designs?
(9) Show how designs are varied based on the aspects of production methods, life span, reliability and environment?
(10) Explain how economics influence the engineering designs?
(10x3 marks =30 marks)

## Part B

Answer any ONE question from each module. Each question carry 14 marks

## Module 1

(11) Show the designing of a wrist watch going through the various stages of the design process. Use hand sketches to illustrate the processes.
or
(12)Find the customer requirements for designing a new car showroom. Show how the design objectives were finalized considering the design constraints?

## Module 2

(13)Illustrate the design thinking approach for designing a bag for college students within a limited budget. Describe each stage of the process and the iterative procedure involved. Use hand sketches to support your arguments.

## or

(14)Construct a number of possible designs and then refine them to narrow down to the best design for a drug trolley used in hospitals. Show how the divergent-convergent thinking helps in the process. Provide your rationale for each step by using hand sketches only.

## Module 3

(15) Graphically communicate the design of a thermo flask used to keep hot coffee. Draw the detailed 2D drawings of the same with design detailing, material selection, scale drawings, dimensions, tolerances, etc. Use only hand sketches.

## or

(16)Describe the role of mathematical modelling in design engineering. Show how mathematics and physics play a role in designing a lifting mechanism to raise 100 kg of weight to a floor at a height of 10 meters in a construction site.

## Module 4

(17) Show the development of a nature inspired design for a solar poweredbus waiting shed beside a highway. Relate between natural and man-made designs. Use hand sketches to support your arguments.
or
(18)Show the design of a simple sofa and then depict how the design changes when considering 1) aesthetics and 2) ergonomics into consideration. Give hand sketches and explanations to justify the changes in designs.

## Module 5

(19)Examine the changes in the design of a foot wear with constraints of 1) production methods, 2) life span requirement, 3) reliability issues and 4) environmental factors. Use hand sketches and give proper rationalization for the changes in design.
or
(20)Describe the how to estimate the cost of a particular design using ANY of the following: i) a website, ii) the layout of a plant, iii) the elevation of a building, iv) anelectrical or electronic system or device and v) a car.
Show how economics will influence the engineering designs. Use hand sketches to support your arguments.

## Syllabus

## Module 1

Design Process:- Introduction to Design and Engineering Design, Defining a Design Process-:Detailing Customer Requirements, Setting Design Objectives, Identifying Constraints, Establishing Functions, Generating Design Alternatives and Choosing a Design.

## Module 2

Design Thinking Approach:-Introduction to Design Thinking, Iterative Design Thinking Process Stages: Empathize, Define, Ideate, Prototype and Test. Design Thinking as Divergent-Convergent Questioning. Design Thinking in a Team Environment.

## Module 3

Design Communication (Languages of Engineering Design):-Communicating Designs Graphically, Communicating Designs Orally and in Writing. Mathematical Modeling In Design, Prototyping and Proofing the Design.

## Module 4

Design Engineering Concepts:-Project-based Learning and Problem-based Learning in Design.Modular Design and Life Cycle Design Approaches. Application of Biomimicry,Aesthetics and Ergonomics in Design. Value Engineering, Concurrent Engineering, and Reverse Engineering in Design.

## Module 5

Expediency, Economics and Environment in Design Engineering:-Design for Production, Use, and Sustainability. Engineering Economics in Design. Design Rights. Ethics in Design

## Text Books

1) YousefHaik, SangarappillaiSivaloganathan, Tamer M. Shahin, Engineering Design Process, Cengage Learning 2003, Third Edition, ISBN-10: 9781305253285 ,
2) Voland, G., Engineering by Design, Pearson India 2014, Second Edition, ISBN 9332535051

## Reference Books

1.Philip Kosky, Robert Balmer, William Keat, George Wise, Exploring Engineering, Fourth Edition: An Introduction to Engineering and Design, Academic Press 2015, 4th Edition, ISBN: 9780128012420.
2. Clive L. Dym, Engineering Design: A Project-Based Introduction, John Wiley \& Sons, New York 2009, Fourth Edition, ISBN: 978-1-118-32458-5
3. Nigel Cross, Design Thinking: Understanding How Designers Think and Work, Berg Publishers 2011, First Edition, ISBN: 978-1847886361
4. Pahl, G., Beitz, W., Feldhusen, J., Grote, K.-H., Engineering Design: A Systematic Approach, Springer 2007, Third Edition, ISBN 978-1-84628-319-2

Course Contents and Lecture Schedule

| No | Topic | No. of Lectures |
| :---: | :---: | :---: |
| 1 | Module 1: Design Process |  |
| 1.1 | Introduction to Design and Engineering Design. <br> What does it mean to design something? How Is engineering design different from other kinds of design? Where and when do engineers design? What are the basic vocabularyin engineering design? How to learn and do engineering design. | 1 |
| 1.2 | Defining a Design Process-: Detailing Customer Requirements. <br> How to do engineering design? Illustrate the process with an example. How to identify the customer requirements of design? | 1 |
| 1.3 | Defining a Design Process-: Setting Design Objectives, Identifying Constraints, Establishing Functions. <br> How to finalize the design objectives? How to identify the design constraints? How to express the functions a design in engineering terms? | 1 |
| 1.4 | Defining a Design Process-: Generating Design Alternatives and Choosing a Design. <br> How to generate or create feasible design alternatives? How to identify the "best possible design"? | 1 |
| 1.5 | Case Studies:- Stages of Design Process. <br> Conduct exercises for designing simple products going through the different stages of design process. | 1 |
| 2 | Module 2: Design Thinking Approach |  |
| 2.1 | Introduction to Design Thinking <br> How does the design thinking approach help engineers in creating innovative and efficient designs? | 1 |
| 2.2 | Iterative Design Thinking Process Stages: Empathize, Define, Ideate, Prototype and Test. <br> How can the engineers arrive at better designs utilizing the iterative design thinking process (in which knowledge acquired in the later stages can be applied back to the earlier stages)? | 1 |
| 2.3 | Design Thinking as Divergent-Convergent Questioning. <br> Describe how to create a number of possible designs and then how to refine and narrow down to the 'best design'. | 1 |
| 2.4 | Design Thinking in a Team Environment. <br> How to perform design thinking as a team managing the conflicts? | 1 |
| 2.5 | Case Studies: Design Thinking Approach. <br> Conduct exercises using the design thinking approach for | 1 |


|  | designing any simple products within a limited time and budget |  |
| :---: | :---: | :---: |
| 3 | Module 3: Design Communication (Languages of Engineering Design) |  |
| 3.1 | Communicating Designs Graphically. <br> How do engineering sketches and drawings convey designs? | 1 |
| 3.2 | Communicating Designs Orally and in Writing. <br> How can a design be communicated through oral presentation or technical reports efficiently? | 1 |
|  | 1.4 First Series Examination |  |
| 3.3 | Mathematical Modelling in Design. <br> How do mathematics and physics become a part of the design process? | 1 |
| 3.4 | Prototyping and Proofing the Design. <br> How to predict whether the design will function well or not? | 1 |
| 3.5 | Case Studies: Communicating Designs Graphically. <br> Conduct exercises for design communication through detailed 2D or 3D drawings of simple products with design detailing, material selection, scale drawings, dimensions, tolerances, etc. | 1 |
| 4 | Module 4: Design Engineering Concepts |  |
| 4.1 | Project-based Learning and Problem-based Learning in Design. <br> How engineering students can learn design engineering through projects? <br> How students can take up problems to learn design engineering? | 1 |
| 4.2 | Modular Design and Life Cycle Design Approaches. <br> What is modular approach in design engineering? How it helps? <br> How the life cycle design approach influences design decisions? | 1 |
| 4.3 | Application of Bio-mimicry, Aesthetics and Ergonomics in Design. <br> How do aesthetics and ergonomics change engineering designs? <br> How do the intelligence in nature inspire engineering designs? What are the common examples of bio-mimicry in engineering? | 1 |
| 4.4 | Value Engineering, Concurrent Engineering, and Reverse Engineering in Design. <br> How do concepts like value engineering, concurrent engineering and reverse engineering influence engineering designs? | 1 |
| 4.5 | Case Studies: Bio-mimicry based Designs. <br> Conduct exercises to develop new designs for simple | 1 |


|  | products using bio-mimicry and train students to bring out <br> new nature inspired designs. |  |  |  |
| :--- | :--- | :---: | :---: | :---: |
| 5 | Module 5: Expediency, Economics and Environment in Design <br> Engineering |  |  |  |
| 5.1 | Design for Production, Use, and Sustainability. <br> How designs are finalized based on the aspects of <br> production methods, life span, reliability and <br> environment? | 1 |  |  |
| 5.2 | Engineering Economics in Design. <br> How to estimate the cost of a particular design and how <br> will economics influence the engineering designs? | 1 |  |  |
| 5.3 | Design Rights. <br> What are design rights and how can an engineer put it <br> into practice? | 1 |  |  |
| 5.5 | Ethics in Design. <br> How do ethics play a decisive role in engineering design? | 1 |  |  |
| Case Studies: Design for Production, Use, and <br> Sustainability. <br> Conduct exercises using simple products to show how designs <br> change with constraints of production methods, life span <br> requirement, reliability issues and environmental factors. | 1 |  |  |  |
| Second Series Examination |  |  |  | 1 |


| CODE | COURSE NAME | CATEGORY | L | T | P | CREDIT |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MCN202 | CONSTITUTION OF INDIA |  | 2 | 0 | 0 | NIL |

## Preamble:

The study of their own country constitution and studying the importance environment as well as understanding their own human rights help the students to concentrate on their day to day discipline. It also gives the knowledge and strength to face the society and people.

Prerequisite: Nil
Course Outcomes: After the completion of the course the student will be able to

| CO 1 | Explain the background of the present constitution of India and features. |
| :--- | :--- |
| CO 2 | Utilize the fundamental rights and duties. |
| CO 3 | Understand the working of the union executive, parliament and judiciary. |
| CO 4 | Understand the working of the state executive, legislature and judiciary. |
| CO 5 | Utilize the special provisions and statutory institutions. |
| CO 6 | Show national and patriotic spirit as responsible citizens of the country |

Mapping of course outcomes with program outcomes

|  | PO 1 | PO 2 | PO 3 | PO 4 | PO 5 | PO 6 | PO 7 | PO 8 | PO 9 | PO <br> $\mathbf{1 0}$ | PO <br> $\mathbf{1 1}$ | PO <br> $\mathbf{1 2}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| CO 1 |  |  |  |  | 2 | 2 | 2 |  | 2 |  |  |  |
| CO 2 |  |  |  |  | 3 | 3 | 3 |  | 3 |  |  |  |
| CO 3 |  |  |  |  | 3 | 2 | 3 |  | 3 |  |  |  |
| CO 4 |  |  |  |  | 3 | 2 | 3 |  | 3 |  |  |  |
| CO 5 |  |  |  |  | 3 | 2 | 3 |  | 3 |  |  |  |
| CO 6 |  |  |  |  |  | 3 | 3 | 3 |  | 2 |  |  |

## Assessment Pattern

| Bloom's Category | Continuous Assessment <br> Tests |  | End Semester Examination |
| :--- | :--- | :--- | :--- |
|  | $\mathbf{1}$ |  |  |


| Evaluate |  |  |  |
| :--- | :--- | :--- | :--- |
| Create |  |  |  |

## Mark distribution

| Total <br> Marks | CIE | ESE | ESE Duration |
| :--- | :--- | :--- | :--- |
| 150 | 50 | 100 | 3 hours |

## Continuous Internal Evaluation Pattern:

| Attendance | $: 10$ marks |
| :--- | :--- |
| Continuous Assessment Test (2 numbers) | $: 25$ marks |
| Assignment/Quiz/Course project | $: 15$ marks |

End Semester Examination Pattern: There will be two parts; Part A and Part B. Part A contain 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer any one. Each question can have maximum 2 sub-divisions and carry 14 marks.
Course Level Assessment Questions
Course Outcome 1 (CO1):
1 Discuss the historical background of the Indian constitution.
2 Explain the salient features of the Indian constitution.
3 Discuss the importance of preamble in the implementation of constitution.

## Course Outcome 2 (CO2)

1 What are fundamental rights ? Examine each of them.
2 Examine the scope of freedom of speech and expression underlying the constitution.
3 The thumb impression of an accused is taken by the police against his will. He contends that this is a violation of his rights under Art 20(3) of the constitution. Decide.

## Course Outcome 3(CO3):

1 Explain the powers of the President to suspend the fundamental rights during emergency.

2 Explain the salient features of appeal by special leave.
3. List the constitutional powers of President.

## Course Outcome 4 (CO4):

1 Discuss the constitutional powers of Governor.
2 Examine the writ jurisdiction of High court.
3 Discuss the qualification and disqualification of membership of state legislature.

## Course Outcome 5 (CO5):

1 Discuss the duties and powers of comptroller of auditor general.

2 Discuss the proclamation of emergency.

3 A state levies tax on motor vehicles used in the state, for the purpose of maintaining roads in the state. X challenges the levy of the tax on the ground that it violates the freedom of interstate commerce guaranteed under Art 301. Decide.

## Course Outcome 6 (CO6):

1 Explain the advantages of citizenship.
2 List the important principles contained in the directive principles of state policy.
3 Discuss the various aspects contained in the preamble of the constitution

Model Question paper

## PART A

(Answer all questions. Each question carries 3 marks)

1 Define and explain the term constitution.

2 Explain the need and importance of Preamble.
3 What is directive principle of state policy?
4 Define the State.
5 List the functions of Attorney general of India.

6 Explain the review power of Supreme court.
7 List the qualifications of Governor.
8 Explain the term and removal of Judges in High court.
9 Explain the powers of public service commission.
10 List three types of emergency under Indian constitution.

(Answer on question from each module. Each question carries 14 marks)

## Module 1

11 Discuss the various methods of acquiring Indian citizenship.
12 Examine the salient features of the Indian constitution.

## Module 2

13 A high court passes a judgement against X . X desires to file a writ petition in the supreme court under Art32, on the ground that the judgement violates his fundamental rights.

Advise him whether he can do so.
14 What is meant by directive principles of State policy? List the directives.

## Module3

15 Describe the procedure of election and removal of the President of India.
16 Supreme court may in its discretion grant special leave to appeal. Examine the situation.

## Module 4

17 Discuss the powers of Governor.
18 X filed a writ petition under Art 226 which was dismissed. Subsequently, he filed a writ petition under Art 32 of the constitution, seeking the same remedy. The Government argued that the writ petition should be dismissed, on the ground of res judicata. Decide.

## Module 5

19 Examine the scope of the financial relations between the union and the states.

20 Discuss the effects of proclamation of emergency.
(14X5=70marks)

## Syllabus

Module 1 Definition, historical back ground, features, preamble, territory, citizenship.
Module 2 State, fundamental rights, directive principles, duties.

Module 3 The machinery of the union government.
Module 4 Government machinery in the states

Module 5 The federal system, Statutory Institutions, miscellaneous provisions.

## Text Books

1 D D Basu, Introduction to the constitution of India, Lexis Nexis, New Delhi, 24e, 2019 2 PM Bhakshi, The constitution of India, Universal Law, 14e, 2017

## Reference Books

1 Ministry of law and justice, The constitution of India, Govt of India, New Delhi, 2019.
2 JN Pandey, The constitutional law of India, Central Law agency, Allahabad, 51e, 2019

3 MV Pylee, India's Constitution, S Chand and company, New Delhi, 16e, 2016

## Course Contents and Lecture Schedule

| No | Topic | No. of Lectures |
| :--- | :--- | :--- |
| 1 | Module 1 |  |
| 1.1 | Definition of constitution, historical back ground, salient features <br> of the constitution. | 1 |
| 1.2 | Preamble of the constitution, union and its territory. | 1 |
| 1.3 | Meaning of citizenship, types, termination of citizenship. | 2 |
| 2 | Module 2 |  |
| 2.1 | Definition of state, fundamental rights, general nature, <br> classification, right to equality, right to freedom, right against <br> exploitation | 2 |


| 2.2 | Right to freedom of religion, cultural and educational rights, right to constitutional remedies. Protection in respect of conviction for offences. | 2 |
| :---: | :---: | :---: |
| 2.3 | Directive principles of state policy, classification of directives, fundamental duties. | 2 |
| 3 | Module 3 |  |
| 3.1 | The Union executive, the President, the vice President, the council of ministers, the Prime minister, Attorney-General, functions. | 2 |
| 3.2 | The parliament, composition, Rajya sabha, Lok sabha, qualification and disqualification of membership, functions of parliament. | $2$ |
| 3.3 | Union judiciary, the supreme court, jurisdiction, appeal by special leave. | 1 |
| 4 | Module 4 |  |
| 4.1 | The State executive, the Governor, the council of ministers, the Chief minister, advocate general, union Territories. | 2 |
| 4.2 | The State Legislature, composition, qualification and disqualification of membership, functions. | 2 |
| 4.3 | The state judiciary, the high court, jurisdiction, writs jurisdiction. | 1 |
| 5 | Module 5 |  |
| 5.1 | Relations between the Union and the States, legislative relation, administrative relation, financial Relations, Inter State council, finance commission. | 1 |
| 5.2 | Emergency provision, freedom of trade commerce and inter course, comptroller and auditor general of India, public Services, public service commission, administrative Tribunals. | 2 |
| 5.3 | Official language, elections, special provisions relating to certain classes, amendment of the Constitution. | 2 |


| CSL 202 | DIGITAL LAB | CATEGORY | L | T | P | CREDIT |
| :--- | :---: | :---: | :--- | :--- | :--- | :---: |
|  |  | PCC | 0 | 0 | 3 | 2 |

Preamble: This course helps the learners to get familiarized with (i) Digital Logic Design through the implementation of Logic Circuits using ICs of basic logic gates \& flipflops and (ii) Hardware Description Language based Digital Design. This course helps the learners to design and implement hardware systems in areas such as games, music, digital filters, wireless communications and graphical displays.

Prerequisite:Topics covered under the course Logic System Design (CST 203)
Course Outcomes: After the completion of the course the student will be able to

| CO 1 | Design and implement combinational logic circuits using Logic Gates (Cognitive <br> Knowledge Level: Apply) |
| :--- | :--- |
| CO 2 | Design and implement sequential logic circuits using Integrated Circuits <br> (Cognitive Knowledge Level: Apply) |
| CO 3 | Simulate functioning of digital circuits using programs written in a Hardware <br> Description Language (Cognitive Knowledge Level: Apply) |
| CO 4 | Function effectively as an individual and in a team to accomplish a given task of <br> designing and implementing digital circuits (Cognitive Knowledge Level: Apply) |

## Mapping of course outcomes with program outcomes

|  | PO 1 | PO 2 | PO 3 | PO 4 | PO 5 | PO 6 | PO 7 | PO 8 | PO 9 | PO10 | PO11 | PO12 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| CO 1 |  |  |  |  |  |  |  |  |  |  |  |  |
| $\operatorname{CO} 2$ |  |  |  |  |  |  |  |  |  |  |  |  |
| $\operatorname{CO} 3$ |  |  |  |  |  |  |  |  |  |  |  |  |
| $\operatorname{CO} 4$ |  |  |  |  |  |  |  |  |  |  |  |  |

## Assessment Pattern

| Bloom's Category | Continuous Assessment <br> Test (Internal Exam) <br> (Percentage) | End Semester <br> Examination (Percentage) |
| :--- | :---: | :---: |
| Remember | 20 | 20 |
| Understand | 20 | 20 |
| Apply | 60 | 60 |
| Analyse |  |  |
| Evaluate |  |  |
| Create |  |  |

Mark Distribution

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
| :---: | :---: | :---: | :---: |
| 150 | 75 | 75 | 3 hours |

Continuous Internal Evaluation Pattern:

| Attendance | $: 15$ marks |
| :--- | :--- |
| Continuous Evaluation in Lab | $: 30$ marks |
| Continuous Assessment Test | $: 15$ marks |

Viva-voce : 15 marks
Internal Examination Pattern: The marks will be distributed as Design/Algorithm 30 marks, Implementation/Program 20 marks, Output 20 marks and Viva 30 marks. Total 100 marks which will be converted out of 15 while calculating Internal Evaluation marks.

End Semester Examination Pattern:The marks will be distributed as Design/Algorithm 30 marks, Implementation/Program 20 marks, Output 20 marks and Viva 30 marks. Total 100 marks will be converted out of 75 for End Semester Examination.

## Fair Lab Record:

All Students attending the Digital Lab should have a Fair Record. The fair record should be produced in the University Lab Examination. Every experiment conducted in the lab should be noted in the fair record. For every experiment in the fair record, the right hand page should contain Experiment Heading, Experiment Number, Date of Experiment, and Aim of Experiment. The left hand page should contain components used, circuit design or a print out of the code used for the experiment and sample output obtained.

## SYLLABUS

Conduct a minimum of $\mathbf{8}$ experiments from Part A and a minimum of $\mathbf{4}$ experiments from Part B. The starred experiments in Part A are mandatory. The lab work should be conducted in groups (maximum group size being 4). The performance of a student in the group should be assessed based on teamwork, integrity and cooperation.

## Part A (Any 8 Experiments)

- A 2 hour session should be spent to make the students comfortable with the use of trainer kit/breadboard and ICs.
- The following experiments can be conducted on breadboard or trainer kits.
- Out of the 15 experiments listed below, a minimum of 8 experiments should be completed by a student, including the mandatory experiments (5).

1. Realization of functions using basic and universal gates (SOP and POS forms).
2. Design and realization of half adder, full adder, half subtractor and full subtractor using: a) basic gates (b) universal gates. *
3. Code converters: Design and implement BCD to Excess 3 and Binary to Gray code converters.
4. Design and implement 4 bit adder/subtractor circuit and BCD adder using IC7483.
5. Implementation of Flip Flops: SR, D, T, JK and Master Slave JK Flip Flops using basic gates.*
6. Asynchronous Counter: Design and implement 3 bit up/down counter.
7. Asynchronous Counter: Realization of Mod N counters (At least one up counter and one down counter to be implemented). *
8. Synchronous Counter: Realization of 4-bit up/down counter.
9. Synchronous Counter: Realization of Mod-N counters and sequence generators. (At least one $\bmod \mathrm{N}$ counter and one sequence generator to be implemented) *
10. Realization of Shift Register (Serial input left/right shift register), Ring counter and Johnson Counter using flipflops. *
11. Realization of counters using IC's (7490, 7492, 7493).
12. Design and implement BCD to Seven Segment Decoder.
13. Realization of Multiplexers and De-multiplexers using gates.
14. Realization of combinational circuits using MUX \& DEMUX ICs (74150, 74154).
15. To design and set up a 2-bit magnitude comparator using basic gates.

## PART B (Any 4 Experiments)

- The following experiments aim at training the students in digital circuit design with Verilog. The experiments will lay a foundation for digital design with Hardware Description Languages.
- A 3 hour introductory session shall be spent to make the students aware of the fundamentals of development using Verilog
- Out of the 8 experiments listed below, a minimum of 4 experiments should be completed by a student


## Experiment 1. Realization of Logic Gates and Familiarization of Verilog

(a) Familiarization of the basic syntax of Verilog
(b) Development of Verilog modules for basic gates and to verify truth tables.
(c) Design and simulate the HDL code to realize three and four variable Boolean functions

## Experiment 2: Half adder and full adder

(a) Development of Verilog modules for half adder in 3 modeling styles (dataflow/ structural/behavioural).
(b) Development of Verilog modules for full adder in structural modeling using half adder.

## Experiment 3: Design of code converters

Design and simulate the HDL code for
(a) 4- bit binary to gray code converter
(b) 4- bit gray to binary code converter

## Experiment 4: Mux and Demux in Verilog

(a) Development of Verilog modules for a $4 \times 1$ MUX.
(b) Development of Verilog modules for a $1 \times 4$ DEMUX.

## Experiment 5: Adder/Subtractor

(a) Write the Verilog modules for a 4 -bit adder/subtractor
(b) Development of Verilog modules for a BCD adder

## Experiment 6: Magnitude Comparator

Development of Verilog modules for a 4 bit magnitude comparator

## Experiment 7: Flipflops and shiftregisters

(a) Development of Verilog modules for SR, JK, T and D flip flops.
(b) Development of Verilog modules for a Johnson/Ring counter

## Experiment 8: Counters

(a) Development of Verilog modules for an asynchronous decade counter.
(b) Development of Verilog modules for a 3 bit synchronous up-down counter.

## Practice Questions

## PART A

1. Design a two bit parallel adder using gates and implement it using ICs of basic gates
2. A combinatorial circuit has 4 inputs and one output. The output is equal to 1 when (a) all inputs are 1 , (b) none of the inputs are 1 , (c) an odd number of inputs are equal to 1. Obtain the truth table and output function for this circuit and implement the same.
3. Design and implement a parallel subtractor.
4. Design and implement a digital circuit that converts Gray code to Binary.
5. Design a combinational logic circuit that will output the 1 's compliment of a 4 -bit input number.
6. Implement and test the logic function $f(A, B, C)=\sum m(0,1,3,6)$ using an 8:1 MUX IC
7. Design a circuit that will work as a ring counter or a Johnson counter based on a mode bit, M.
8. Design a 4-bit synchronous down counter.
9. Design a Counter to generate the binary sequence $0,1,3,7,6,4$
10. Design an asynchronous mod 10 down counter
11. Design and implement a synchronous counter using JK flip flop ICs to generate the sequence: 0-1-3-5-7-0.

## PART B

1. Develop Verilog modules for a full subtractor in structural modeling using half subtractors.
2. Design a 4 bit parallel adder using Verilog.
3. Develop Verilog modules for a 4 bit synchronous down counter.
4. Write Verilog code for implementing a 8:1 multiplexer.
5. Develop Verilog modules for a circuit that converts Excess 3 code to binary.
6. Write the Verilog code for a JK Flip flop, and its test-bench. Use all possible combinations of inputs to test its working
7. Write the hardware description in Verilog of a 8-bit register with shift left and shift right modes of operations and test its functioning.
8. Write the hardware description in Verilog of a mod- $\mathrm{N}(\mathrm{N}>9)$ counter and test it.

| CST 206 | OPERATING <br> SYSTEMS LAB | CATEGORY | L | T | P | CREDIT | YEAR OF |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | PCC | 0 | 0 | 3 | 2 | INTRODUCTION |
|  |  |  |  |  | 2019 |  |  |

Preamble: The course aims to offer students a hands-on experience on Operating System concepts using a constructivist approach and problem-oriented learning. Operating systems are the fundamental part of every computing device to run any type of software.

Prerequisite: Topics covered in the courses are Data Structures (CST 201) and Programming in C (EST 102)

## Course Outcomes:

At the end of the course, the student should be able to

| CO1 | Illustrate the use of systems calls in Operating Systems. (Cognitive knowledge: <br> Understand) |
| :--- | :--- |
| CO2 | Implement Process Creation and Inter Process Communication in Operating <br> Systems. (Cognitive knowledge: Apply) |
| $\mathbf{C O 3}$ | Implement Fist Come First Served, Shortest Job First, Round Robin and Priority- <br> based CPU Scheduling Algorithms. (Cognitive knowledge: Apply) |
| CO4 | Illustrate the performance of First In First Out, Least Recently Used and Least <br> Frequently Used Page Replacement Algorithms. (Cognitive knowledge: Apply) |
| CO5 | Implement modules for Deadlock Detection and Deadlock Avoidance in Operating <br> Systems. (Cognitive knowledge: Apply) |
| CO6 | Implement modules for Storage Management and Disk Scheduling in Operating <br> Systems. (Cognitive knowledge: Apply) |

## Mapping of course outcomes with program outcomes

|  | PO1 | PO2 | PO3 | PO4 | PO5 | PO6 | PO7 | PO8 | PO9 | PO10 | PO11 | PO12 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| CO1 | 0 | 0 | $\bigcirc$ |  |  |  |  | $\bigcirc$ |  | $\bigcirc$ |  | $\bigcirc$ |
| CO 2 | $\bigcirc$ | $\bigcirc$ | 0 |  |  |  |  | ( |  | $\checkmark$ |  | $\bigcirc$ |
| CO 3 | 0 | ( | 0 | 0 |  |  |  | 0 |  | $\bigcirc$ |  | $\bigcirc$ |
| CO4 | 0 | $\theta$ | 0 | 0 |  |  |  | 0 |  | $\bigcirc$ |  | $\bigcirc$ |
| CO5 | 0 | ( | (1) | $\bigcirc$ |  |  |  | 0 |  | $\bigcirc$ |  | $\bigcirc$ |
| CO6 | 0 | ( | $\checkmark$ | $\bigcirc$ | A |  |  | (1) |  | $\bigcirc$ |  | $\bigcirc$ |


| Abstract POs defined by National Board of Accreditation |  |  |  |
| :--- | :--- | :---: | :--- |
| PO\# | Broad PO | PO\# | Broad PO |
| PO1 | Engineering Knowledge | PO7 | Environment and Sustainability |
| PO2 | Problem Analysis | PO8 | Ethics |
| PO3 | Design/Development of solutions | PO9 | Individual and team work |
| PO4 | Conduct investigations of complex <br> problems | PO10 | Communication |
| PO5 | Modern tool usage | PO11 | Project Management and Finance |
| PO6 | The Engineer and Society | PO12 | Life long learning |

## Assessment Pattern:

| Bloom's Category | Continuous Assessment Test <br> (Internal Exam) Marks in <br> percentage | End Semester Examination <br> Marks in percentage |
| :--- | :---: | :---: |
| Remember | 20 | 20 |
| Understand | 20 | 20 |
| Apply | 60 | 60 |
| Analyse |  |  |
| Evaluate |  |  |
| Create |  |  |

Mark Distribution

| Total Marks | CIE Marks | ESE <br> Marks | ESE Duration |
| :---: | :---: | :---: | :---: |
| 150 | 75 | 75 | 3 hours |

## Continuous Internal Evaluation Pattern:

Attendance : 15 marks
Continuous Evaluation in Lab : 30 marks

Continuous Assessment Test : 15 marks
Viva Voce : 15 marks
Internal Examination Pattern: The marks will be distributed as Algorithm 30 marks, Program 20 marks, Output 20 marks and Viva 30 marks. Total 100 marks which will be converted out of 15 while calculating Internal Evaluation marks.

End Semester Examination Pattern: The percentage of marks will be distributed as Algorithm 30 marks, Program 20 marks, Output 20 marks and Viva 30 marks. Total 75 marks.
Operating System to Use in Lab : Linux

## Compiler/Software to Use in Lab : gec

Progamming Language to Use in Lab : Ansi C

## Fair Lab Record:

All Students attending the Operating System Lab should have a Fair Record. The fair record should be produced in the University Lab Examination. Every experiment conducted in the lab should be noted in the fair record. For every experiment in the fair record, the right hand page should contain Experiment Heading, Experiment Number, Date of experiment, Aim of the Experiment and the operations performed on them, Details of experiment including algorithm and result of Experiment. The left hand page should contain a print out of the code used for experiment and sample output obtained for a set of input.

## SYLLABUS

## OPERATING SYSTEMS LAB

* mandatory

1. Basic Linux commands
2. Shell programming
-Command syntax
-Write simple functions with basic tests, loops, patterns
3. System calls of Linux operating system:*
fork, exec, getpid, exit, wait, close, stat, opendir, readdir
4. Write programs using the I/O system calls of Linux operating system (open, read, write)
5. Implement programs for Inter Process Communication using Shared Memory *
6. Implement Semaphores*
7. Implementation of CPU scheduling algorithms. a) Round Robin b) SJF c) FCFS d) Priority *
8. Implementation of the Memory Allocation Methods for fixed partition*
a) First Fit b) Worst Fit c) Best Fit
9. Implement 1 page replacement algorithms a) FIFO b) LRU c) LFU*
10. Implement the banker's algorithm for deadlock avoidance. *
11. Implementation of Deadlock detection algorithm
12. Simulate file allocation strategies.
b) Sequential b) Indexed c) Linked
13. Simulate disk scheduling algorithms. *
c) FCFS b)SCAN c) C-SCAN

## OPERATING SYSTEMS LAB - PRACTICE QUESTIONS

1. Write a program to create a process in linux.
2. Write programs using the following system calls of Linux operating system:
fork, exec, getpid, exit, wait, close, stat, opendir, readdir
3. Write programs using the I/O system calls of Linux operating system (open, read, write)
4. Given the list of processes, their CPU burst times and arrival times, display/print the Gantt chart for FCFS and SJF. For each of the scheduling policies, compute and print the average waiting time and average turnaround time
5. Write a C program to simulate following non-preemptive CPU scheduling algorithms to find turnaround time and waiting time.
a)FCFS
b) SJF
c) Round Robin (pre-emptive)
d) Priority
6. Write a C program to simulate following contiguous memory allocation techniques
a) Worst-fit
b) Best-fit
c) First-fit
7. Write a C program to simulate paging technique of memory management.
8. Write a C program to simulate Bankers algorithm for the purpose of deadlock avoidance.
9. Write a C program to simulate disk scheduling algorithms a) FCFS b) SCAN c) C-SCAN
10. Write a C program to simulate page replacement algorithms a) FIFO b) LRU c) LFU
11. Write a C program to simulate producer-consumer problem using semaphores.
12. Write a program for file manipulation for display a file and directory in memory.
13. Write a program to simulate algorithm for deadlock prevention.
14. Write a C program to simulate following file allocation strategies.
a)Sequential
b) Indexed
c) Linked

| CODE | Computational Fundamentals | CATEGORY | L | T | P | CREDIT |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| CST 294 | for Machine Learning | HONOURS | 3 | 1 | 0 | 4 |

Preamble: This is the foundational course for awarding B. Tech. Honours in Computer Science and Engineering with specialization in Machine Learning. The purpose of this course is to introduce mathematical foundations of basic Machine Learning concepts among learners, on which Machine Learning systems are built. This course covers Linear Algebra, Vector Calculus, Probability and Distributions, Optimization and Machine Learning problems. Concepts in this course help the learners to understand the mathematical principles in Machine Learning and aid in the creation of new Machine Learning solutions, understand \& debug existing ones, and learn about the inherent assumptions \& limitations of the current methodologies.

Prerequisite: A sound background in higher secondary school Mathematics.
Course Outcomes: After the completion of the course the student will be able to

| CO 1 | Make use of the concepts, rules and results about linear equations, matrix algebra, <br> vector spaces, eigenvalues \& eigenvectors and orthogonality \& diagonalization to <br> solve computational problems (Cognitive Knowledge Level: Apply) |
| :--- | :--- |
| CO 2 | Perform calculus operations on functions of several variables and matrices, <br> including partial derivatives and gradients (Cognitive Knowledge Level: Apply) |
| CO 3 | Utilize the concepts, rules and results about probability, random variables, additive <br> \& multiplicative rules, conditional probability, probability distributions and Bayes <br> theorem to find solutions of computational problems (Cognitive Knowledge Level: <br> Apply) |
| CO 4 | Train Machine Learning Models using unconstrained and constrained optimization <br> methods (Cognitive Knowledge Level: Apply) |
| CO 5 | Illustrate how the mathematical objects - linear algebra, probability, and calculus <br> can be used to design machine learning algorithms (Cognitive Knowledge Level: <br> Understand) |

## Mapping of course outcomes with program outcomes

|  | PO 1 | PO 2 | PO 3 | PO 4 | PO 5 | PO 6 | PO 7 | PO 8 | PO 9 | PO 10 | PO 11 | PO 12 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| CO 1 | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |  |  |  |  |  |  | $\checkmark$ |
| CO 2 | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |  |  |  |  |  |  |  | $\checkmark$ |
| CO 3 | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |  |  |  |  |  |  | $\checkmark$ |
| CO 4 | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |  | $\checkmark$ |  |  |  |  |  | $\checkmark$ |
| CO 5 | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |  |  | $\checkmark$ |  | $\checkmark$ |


| Abstract POs defined by National Board of Accreditation |  |  |  |
| :---: | :--- | :---: | :--- |
| PO\# | Broad PO | PO\# | Broad PO |
| PO1 | Engineering Knowledge | PO7 | Environment and Sustainability |
| PO2 | Problem Analysis | PO8 | Ethics |
| PO3 | Design/Development of solutions | PO9 | Individual and team work |
| PO4 | Conduct investigations of complex <br> problems | PO10 | Communication |
| PO5 | Modern tool usage | PO11 | Project Management and Finance |
| PO6 | The Engineer and Society | PO12 | Life long learning |

## Assessment Pattern

| Bloom's Category | Continuous Assessment Tests |  | End Semester <br> Examination |
| :--- | :---: | :---: | :---: |
|  | $\mathbf{1}$ | $\mathbf{2}$ |  |
| Remember | $20 \%$ | $20 \%$ | $40 \%$ |
| Understand | $40 \%$ | $40 \%$ | $40 \%$ |
| Apply | $40 \%$ | $40 \%$ |  |
| Analyse |  |  |  |
| Evaluate |  |  |  |
| Create |  |  |  |

## Mark Distribution

| Total Marks | CIE Marks | ESE Marks | ESE Duration |
| :---: | :---: | :---: | :---: |
| 150 | 50 | 100 | 3 hours |

## Continuous Internal Evaluation Pattern:

Attendance<br>: 10 marks<br>Continuous Assessment Tests : 25 marks

## Internal Examination Pattern:

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

End Semester Examination Pattern: There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer anyone. Each question can have maximum 2 sub-divisions and carries 14 marks.

## Syllabus

## Module 1

LINEAR ALGEBRA : Systems of Linear Equations - Matrices, Solving Systems of Linear Equations. Vector Spaces - Linear Independence, Basis and Rank, Linear Mappings, Norms, - Inner Products - Lengths and Distances - Angles and Orthogonality - Orthonormal Basis Orthogonal Complement - Orthogonal Projections. Matrix Decompositions - Determinant and Trace, Eigenvalues and Eigenvectors, Cholesky Decomposition, Eigen decomposition and Diagonalization, Singular Value Decomposition, Matrix Approximation.

## Module 2

VECTOR CALCULUS : Differentiation of Univariate Functions - Partial Differentiation and Gradients, Gradients of Vector Valued Functions, Gradients of Matrices, Useful Identities for Computing Gradients. Back propagation and Automatic Differentiation - Higher Order Derivatives- Linearization and Multivariate Taylor Series.

## Module 3

Probability and Distributions : Construction of a Probability Space - Discrete and Continuous Probabilities, Sum Rule, Product Rule, and Bayes' Theorem. Summary Statistics and Independence - Important Probability distributions - Conjugacy and the Exponential Family - Change of Variables/Inverse Transform.

## Module 4

Optimization : Optimization Using Gradient Descent - Gradient Descent With Momentum, Stochastic Gradient Descent. Constrained Optimization and Lagrange Multipliers - Convex Optimization - Linear Programming - Quadratic Programming.

## Module 5

CENTRAL MACHINE LEARNING PROBLEMS : Data and Learning ModelEmpirical Risk Minimization - Parameter Estimation - Directed Graphical Models.

Linear Regression - Bayesian Linear Regression - Maximum Likelihood as Orthogonal Projection.

Dimensionality Reduction with Principal Component Analysis - Maximum Variance Perspective, Projection Perspective. Eigenvector Computation and Low Rank Approximations.

Density Estimation with Gaussian Mixture Models - Gaussian Mixture Model, Parameter
Learning via Maximum Likelihood, EM Algorithm.
Classification with Support Vector Machines - Separating Hyperplanes, Primal Support Vector Machine, Dual Support Vector Machine, Kernels.

## Text book:

1.Mathematics for Machine Learning by Marc Peter Deisenroth, A. Aldo Faisal, and Cheng Soon Ong published by Cambridge University Press (freely available at https:// mml - book.github.io)

## Reference books:

1. Linear Algebra and Its Applications, 4th Edition by Gilbert Strang
2. Linear Algebra Done Right by Axler, Sheldon, 2015 published by Springer
3. Introduction to Applied Linear Algebra by Stephen Boyd and Lieven Vandenberghe, 2018 published by Cambridge University Press
4. Convex Optimization by Stephen Boyd and Lieven Vandenberghe, 2004 published by Cambridge University Press
5. Pattern Recognition and Machine Learning by Christopher M Bishop, 2006, published by Springer
6. Learning with Kernels - Support Vector Machines, Regularization, Optimization, and Beyond by Bernhard Scholkopf and Smola, Alexander J Smola, 2002, bublished by MIT Press
7. Information Theory, Inference, and Learning Algorithms by David J. C MacKay, 2003 published by Cambridge University Press
8. Machine Learning: A Probabilistic Perspective by Kevin P Murphy, 2012 published by MIT Press.
9. The Nature of Statistical Learning Theory by Vladimir N Vapnik, 2000, published by Springer

## Sample Course Level Assessment Questions.

## Course Outcome 1 (CO1):

1. Find the set $\boldsymbol{S}$ of all solutions in $\boldsymbol{x}$ of the following inhomogeneous linear systems $\boldsymbol{A} \boldsymbol{x}$ $=\boldsymbol{b}$, where $\boldsymbol{A}$ and $\boldsymbol{b}$ are defined as follows:

$$
\boldsymbol{A}=\left[\begin{array}{ccccc}
1 & -1 & 0 & 0 & 1 \\
1 & 1 & 0 & -3 & 0 \\
2 & -1 & 0 & 1 & -1 \\
-1 & 2 & 0 & -2 & -1
\end{array}\right], \quad \boldsymbol{b}=\left[\begin{array}{c}
3 \\
6 \\
5 \\
-1
\end{array}\right]
$$

2. Determine the inverses of the following matrix if possible

$$
A P A=\left[\begin{array}{llll}
1 & 0 & 1 & 0 \\
0 & 1 & 1 & 0 \\
1 & 1 & 0 & 1 \\
1 & 1 & 1 & 0
\end{array}\right]
$$

3. Are the following sets of vectors linearly independent?

$$
x_{1}=\left[\begin{array}{c}
2 \\
-1 \\
3
\end{array}\right], \quad x_{2}=\left[\begin{array}{c}
1 \\
1 \\
-2
\end{array}\right], \quad x_{3}=\left[\begin{array}{c}
3 \\
-3 \\
8
\end{array}\right]
$$

4. A set of $\boldsymbol{n}$ linearly independent vectors in $\boldsymbol{R}^{\boldsymbol{n}}$ forms a basis. Does the set of vectors (2, $4,-\mathbf{3}),(\mathbf{0}, \mathbf{1}, \mathbf{1}),(\mathbf{0}, \mathbf{1},-\mathbf{1})$ form a basis for $\boldsymbol{R}^{3}$ ? Explain your reasons.
5. Consider the transformation $\boldsymbol{T}(x, y)=(x+y, x+2 y, 2 x+3 y)$. Obtain $\boldsymbol{k e r} \boldsymbol{T}$ and use this to calculate the nullity. Also find the transformation matrix for $\boldsymbol{T}$.
6. Find the characteristic equation, eigenvalues, and eigenspaces corresponding to each eigenvalue of the following matrix

$$
\left[\begin{array}{lll}
2 & 0 & 4 \\
0 & 3 & 0 \\
0 & 1 & 2
\end{array}\right]
$$

7. Diagonalize the following matrix, if possible

$$
\left[\begin{array}{llll}
3 & 0 & 0 & 0 \\
0 & 2 & 0 & 0 \\
0 & 0 & 2 & 0 \\
1 & 0 & 0 & 3
\end{array}\right]
$$

8. Find the singular value decomposition (SVD) of the following matrix


## Course Outcome 2 (CO2):

1. For a scalar function $f(x, y, z)=x^{2}+3 y^{2}+2 z^{2}$, find the gradient and its magnitude at the point $(1,2,-1)$.
2. Find the maximum and minimum values of the function $\mathrm{f}(x, y)=4 x+4 y-x^{2}-y^{2}$ subject to the condition $\boldsymbol{x}^{2}+\boldsymbol{y}^{2}<=2$.
3. Suppose you were trying to minimize $f(x, y)=x^{2}+2 y+2 y^{2}$. Along what vector should you travel from $(5,12)$ ?
4. Find the second order Taylor series expansion for $f(x, y)=(x+y)^{2}$ about $(\mathbf{0}, \mathbf{0})$.
5. Find the critical points of $f(x, y)=x^{2-3 x y}+5 x-2 y+6 y^{2}+8$.
6. Compute the gradient of the Rectified Linear Unit (ReLU) function $\operatorname{ReL} \boldsymbol{U}(z)=$ $\max (0, z)$.
7. Let $\boldsymbol{L}=\|\boldsymbol{A} \boldsymbol{x}-\boldsymbol{b}\|^{2}$, where $\boldsymbol{A}$ is a matrix and $\boldsymbol{x}$ and $\boldsymbol{b}$ are vectors. Derive $\boldsymbol{d} \boldsymbol{L}$ in terms of $d x$.

## Course Outcome 3 (CO3):

1. Let $\boldsymbol{J}$ and $\boldsymbol{T}$ be independent events, where $\boldsymbol{P}(\boldsymbol{J})=\mathbf{0} .4$ and $\boldsymbol{P}(\boldsymbol{T})=\mathbf{0 . 7}$.
i. Find $\boldsymbol{P}(\boldsymbol{J} \cap \boldsymbol{T})$
ii. Find $\boldsymbol{P}(\boldsymbol{J} \cup \boldsymbol{T})$
iii. Find $\boldsymbol{P}\left(\boldsymbol{J} \cap \boldsymbol{T}^{\prime}\right)$
2. Let $\boldsymbol{A}$ and $\boldsymbol{B}$ be events such that $\boldsymbol{P}(\boldsymbol{A})=\mathbf{0 . 4 5}, \boldsymbol{P}(\boldsymbol{B})=\mathbf{0 . 3 5}$ and $\boldsymbol{P}(\boldsymbol{A} \cup \boldsymbol{B})=\mathbf{0 . 5}$. Find $\boldsymbol{P}(\boldsymbol{A} \mid \boldsymbol{B})$.
3. A random variable $\mathbf{R}$ has the probability distribution as shown in the following table:

| I | 1 | 2 | 3 | 4 | $\mathbf{5}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{P}(\mathrm{R}=\mathrm{I})$ | 0.2 | a | b | 0.25 | 0.15 |

i. Given that $\boldsymbol{E}(\boldsymbol{R})=\mathbf{2 . 8 5}$, find $\boldsymbol{a}$ and $\boldsymbol{b}$.
ii. Find $\boldsymbol{P}(\boldsymbol{R}>2)$.
4. A biased coin (with probability of obtaining a head equal to $\boldsymbol{p}>\boldsymbol{\theta}$ ) is tossed repeatedly and independently until the first head is observed. Compute the probability that the first head appears at an even numbered toss.
5. Two players A and B are competing at a trivia quiz game involving a series of questions. On any individual question, the probabilities that A and B give the correct answer are $\boldsymbol{p}$ and $\boldsymbol{q}$ respectively, for all questions, with outcomes for different questions being independent. The game finishes when a player wins by answering a question correctly. Compute the probability that A wins if
i. A answers the first question,
ii. B answers the first question.
6. A coin for which $\boldsymbol{P}$ (heads) $=\boldsymbol{p}$ is tossed until two successive tails are obtained. Find the probability that the experiment is completed on the $\boldsymbol{n}^{\text {th }}$ toss.
7. You roll a fair dice twice. Let the random variable $\boldsymbol{X}$ be the product of the outcomes of the two rolls. What is the probability mass function of $\boldsymbol{X}$ ? What are the expected value and the standard deviation of $\boldsymbol{X}$ ?
8. While watching a game of Cricket, you observe someone who is clearly supporting Mumbai Indians. What is the probability that they were actually born within 25 KM of Mumbai? Assume that:

- the probability that a randomly selected person is born within 25 KM of Mumbai is $1 / 20$;
- the chance that a person born within 25 KMs of Mumbai actually supports MI is $7 / 10$;
- the probability that a person not born within 25 KM of Mumbai supports MI with probability $1 / 10$.

9. What is an exponential family? Why are exponential families useful?
10. Let $\boldsymbol{Z}_{\boldsymbol{1}}$ and $\boldsymbol{Z}_{2}$ be independent random variables each having the standard normal distribution. Define the random variables $\boldsymbol{X}$ and $\boldsymbol{Y}$ by $\boldsymbol{X}=\boldsymbol{Z}_{\boldsymbol{1}}+3 \boldsymbol{Z}_{2}$ and $\mathrm{Y}=\boldsymbol{Z}_{\boldsymbol{1}}+\boldsymbol{Z}_{2}$. Argue that the joint distribution of $(\boldsymbol{X}, \boldsymbol{Y})$ is a bivariate normal distribution. What are the parameters of this distribution?
11. Given a continuous random variable $\boldsymbol{x}$, with cumulative distribution function $\boldsymbol{F}_{x}(\boldsymbol{x})$, show that the random variable $\boldsymbol{y}=\boldsymbol{F}_{\boldsymbol{x}}(\boldsymbol{x})$ is uniformly distributed.
12. Explain Normal distribution, Binomial distribution and Poisson distribution in the exponential family form.

## Course Outcome 4(CO4):

1. Find the extrema of $f(x, y)=x$ subject to $g(x, y)=x^{2}+2 y^{2}=3$.
2. Maximize the function $f(x, y, z)=x y+y z+x z$ on the unit sphere $g(x, y, z)=x^{2}+y^{2}+$ $z^{2}=1$.
3. Provide necessary and suffcient conditions under which a quadratic optimization problem be written as a linear least squares problem.
4. Consider the univariate function $f(x)=x^{3}+6 x^{2}-3 x-5$. Find its stationary points and indicate whether they are maximum, minimum, or saddle points.
5. Consider the update equation for stochastic gradient descent. Write down the update when we use a mini-batch size of one.
6. Consider the function

$$
f(x)=\left(x_{1}-x_{2}\right)^{2}+\frac{1}{1+x_{1}^{2}+x_{2}^{2}}
$$

i. Is $\boldsymbol{f}(\boldsymbol{x})$ a convex function? Justify your answer.
ii. Is $(1,-1)$ a local/global minimum? Justify your answer.
7. Is the function $f(x, y)=2 x^{2}+y^{2}+\boldsymbol{x} \boldsymbol{x} \boldsymbol{y}-\boldsymbol{x}+3 y-7$ convex, concave, or neither? Justify your answer.
8. Consider the following convex optimization problem

$$
\operatorname{minimize} \frac{x^{2}}{2}+x+4 y^{2}-2 y
$$

Subject to the constraint $x+y>=4, x, y>=1$.
Derive an explicit form of the Lagrangian dual problem.
9. Solve the following LP problem with the simplex method.

$$
\max 5 x_{1}+6 x_{2}+9 x_{3}+8 x_{4}
$$

subject to the constraints

$$
\begin{gathered}
x_{1}+2 x_{2}+3 x_{3}+x_{4} \leq 5 \\
x_{1}+x_{2}+2 x_{3}+3 x_{4} \leq 3 \\
x_{1}, x_{2}, x_{3}, x_{4} \geq 0
\end{gathered}
$$

## Course Outcome 5 (CO5):

1. What is a loss function? Give examples.
2. What are training/validation/test sets? What is cross-validation? Name one or two examples of cross-validation methods.
3. Explain generalization, overfitting, model selection, kernel trick, Bayesian learning
4. Distinguish between Maximum Likelihood Estimation (MLE) and Maximum A Posteriori Estimation (MAP)?
5. What is the link between structural risk minimization and regularization?
6. What is a kernel? What is a dot product? Give examples of kernels that are valid dot products.
7. What is ridge regression? How can one train a ridge regression linear model?
8. What is Principal Component Analysis (PCA)? Which eigen value indicates the direction of largest variance? In what sense is the representation obtained from a projection onto the eigen directions corresponding the the largest eigen values optimal for data reconstruction?
9. Suppose that you have a linear support vector machine (SVM) binary classifier. Consider a point that is currently classified correctly, and is far away from the decision boundary. If you remove the point from the training set, and re-train the classifier, will the decision boundary change or stay the same? Explain your answer in one sentence.
10. Suppose you have $\boldsymbol{n}$ independent and identically distributed (i.i.d) sample data points $\boldsymbol{x}_{1}, \ldots, \boldsymbol{x}_{n}$. These data points come from a distribution where the probability of a given datapoint $\boldsymbol{x}$ is

$$
P(x)=\frac{1}{\theta} e^{-\frac{1}{\theta} x}
$$

Prove that the MLE estimate of parameter is the sample mean.
11. Suppose the data set $\boldsymbol{y}_{1, \ldots}, y_{n}$ is a drawn from a random sample consisting of i.i.d. discrete uniform distributions with range 1 to $N$. Find the maximum likelihood estimate of $\boldsymbol{N}$.
12. Ram has two coins: one fair coin and one biased coin which lands heads with probability $3 / 4$. He picks one coin at random (50-50) and flips it repeatedly until he gets a tails. Given that he observes 3 heads before the first tails, find the posterior probability that he picked each coin.
i. What are the prior and posterior odds for the fair coin?
ii. What are the prior and posterior predictive probabilities of heads on the next flip? Here prior predictive means prior to considering the data of the first four flips.

## Model Question paper

QP Code :
Total Pages: 4
Reg No.: $\qquad$ Name: $\qquad$

## APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY

IV SEMESTER B.TECH (HONOURS) DEGREE EXAMINATION, MONTH and YEAR

## Course Code: CST 294

## Course Name: COMPUTATIONAL FUNDAMENTALS FOR MACHINE LEARNING

Max. Marks: 100
Duration: 3 Hours

## PART A

Answer all questions, each carries 3 marks.
1 Show that with the usual operation of scalar multiplication but with addition on reals given by $\boldsymbol{x} \# \boldsymbol{y}=\mathbf{2 ( x + y )}$ is not a vector space.

2 Find the eigenvalues of the following matrix in terms of $\boldsymbol{k}$. Can you find an eigenvector corresponding to each of the eigenvalues?

$$
\left[\begin{array}{ll}
1 & k \\
2 & 1
\end{array}\right]
$$

Let $f(x, y, z)=x y e^{r}$, where $r=x^{2}+z^{2}-5$. Calculate the gradient of $f$ at the point (1, 3, -2).

Compute the Taylor polynomials $\boldsymbol{T}_{n}, n=0, \ldots, 5$ of $f(x)=\sin (x)+\boldsymbol{\operatorname { c o s }}(x)$ at $\boldsymbol{x}_{0}=\mathbf{0}$.

Let $\boldsymbol{X}$ be a continuous random variable with probability density function on $0<=x<=1$ defined by $f(x)=3 x^{2}$. Find the pdf of $\boldsymbol{Y}=X^{2}$.

Show that if two events $\boldsymbol{A}$ and $\boldsymbol{B}$ are independent, then $\boldsymbol{A}$ and $\boldsymbol{B}^{\prime}$ are independent.

7 Explain the principle of the gradient descent algorithm.

What is the empirical risk? What is "empirical risk minimization"? Why are kernels so useful? What properties a kernel should posses to be used in an SVM?

## PART B

## Answer any one Question from each module. Each question carries 14 Marks

11 a) i. Find all solutions to the system of linear equations

$$
\begin{aligned}
-4 x+5 z & =-2 \\
-3 x-3 y+5 z & =3 \\
-x+2 y+2 z & =-1
\end{aligned}
$$

ii. Prove that all vectors orthogonal to $[\mathbf{2}, \mathbf{- 3}, \mathbf{1}]^{\mathrm{T}}$ forms a subspace $\boldsymbol{W}$ of $\boldsymbol{R}^{3}$. What is $\boldsymbol{\operatorname { d i m }}(\boldsymbol{W})$ and why?
b) Use the Gramm-Schmidt process to find an orthogonal basis for the column space of the following matrix

$$
\left[\begin{array}{ccc}
2 & 1 & 0 \\
1 & -1 & 1 \\
0 & 3 & 1 \\
1 & 1 & 1
\end{array}\right]
$$

OR

12 a) i. Let $\boldsymbol{L}$ be the line through the origin in $\boldsymbol{R}^{2}$ that is parallel to the vector
$[3,4]^{\mathrm{T}}$. Find the standard matrix of the orthogonal projection onto L. Also find the point on $\boldsymbol{L}$ which is closest to the point $(\mathbf{7}, \mathbf{1})$ and find the point on $L$ which is closest to the point $(-3,5)$.
ii. Find the rank-1 approximation of

$$
\left[\begin{array}{ccc}
3 & 2 & 2 \\
2 & 3 & -2
\end{array}\right]
$$

b) i. Find an orthonormal basis of $\boldsymbol{R}^{3}$ consisting of eigenvectors for the following matrix

$$
\left[\begin{array}{ccc}
1 & 0 & -2 \\
0 & 5 & 0 \\
-2 & 0 & 4
\end{array}\right]
$$

ii. Find a $3 \times 3$ orthogonal matrix $\boldsymbol{S}$ and a $3 \times 3$ diagonal matrix $\boldsymbol{D}$ such that $\boldsymbol{A}=\boldsymbol{S D} \boldsymbol{S}^{\boldsymbol{T}}$.

13 a) A skier is on a mountain with equation $z=100-0.4 \boldsymbol{x}^{2}-\mathbf{0} .3 \boldsymbol{y}^{2}$, where $z$ denotes height.
i. The skier is located at the point with xy-coordinates $(\mathbf{1}, \mathbf{1})$, and wants to ski downhill along the steepest possible path. In which direction (indicated by a vector $(\mathbf{a}, \mathbf{b})$ in the xy-plane) should the skier begin skiing.
ii. The skier begins skiing in the direction given by the xy-vector (a, b) you found in part (i), so the skier heads in a direction in space given by the vector $(\mathbf{a}, \mathbf{b}, \mathbf{c})$. Find the value of $\mathbf{c}$.
b) $\quad$ Find the linear approximation to the function $f(x, y)=2-\boldsymbol{\operatorname { s i n }}(-\boldsymbol{x}-$
$3 y)$ at the point $(\mathbf{0}, \boldsymbol{\pi})$, and then use your answer to estimate $f(0.001, \pi)$.

14 a) Let $\boldsymbol{g}$ be the function given by

$$
g(x, y)=\left\{\begin{array}{cc}
\frac{x^{2} y}{x^{2}+y^{2}} & \text { if }(x, y) \neq(0,0)  \tag{8}\\
0 & \text { if }(x, y)=(0,0)
\end{array}\right.
$$

i. Calculate the partial derivatives of $\boldsymbol{g}$ at $(\mathbf{0}, \mathbf{0})$.
ii. Show that $\boldsymbol{g}$ is not differentiable at $(\mathbf{0}, \mathbf{0})$.
b) Find the second order Taylor series expansion for $\boldsymbol{f}(x, y)=e^{-\left(x 2^{2}+y^{2}\right)} \cos (x y)$ about ( $\mathbf{0}, \mathbf{0}$ ).

15 a) There are two bags. The first bag contains four mangos and two apples; the second bag contains four mangos and four apples. We also have a biased coin, which shows "heads" with probability 0.6 and "tails" with probability 0.4 . If the coin shows "heads". we pick a fruit at random from bag 1; otherwise we pick a fruit at random from bag 2. Your friend flips the coin (you cannot see the result), picks a fruit at random from the corresponding bag, and presents you a mango.

What is the probability that the mango was picked from bag 2 ?
b) Suppose that one has written a computer program that sometimes compiles and sometimes not (code does not change). You decide to model the apparent stochasticity (success vs. no success) $\boldsymbol{x}$ of the compiler using a Bernoulli distribution with parameter $\mu$ :

$$
p(x \mid \mu)=\mu^{x}(1-\mu)^{1-x}, \quad x \in\{0,1\}
$$

Choose a conjugate prior for the Bernoulli likelihood and compute the posterior distribution $\boldsymbol{p}\left(\boldsymbol{\mu} \mid \boldsymbol{x}_{1}, \ldots, \boldsymbol{x}_{N}\right)$.

16 a) Consider a mixture of two Gaussian distributions

$$
0.4 \mathcal{N}\left(\left[\begin{array}{c}
10 \\
2
\end{array}\right],\left[\begin{array}{ll}
1 & 0 \\
0 & 1
\end{array}\right]\right)+0.6 \mathcal{N}\left(\left[\begin{array}{l}
0 \\
0
\end{array}\right],\left[\begin{array}{ll}
8.4 & 2.0 \\
2.0 & 1.7
\end{array}\right]\right)
$$

i. Compute the marginal distributions for each dimension.
ii. Compute the mean, mode and median for each marginal distribution.
iii. Compute the mean and mode for the two-dimensional distribution.
b) Express the Binomial distribution as an exponential family distribution.

Also express the Beta distribution is an exponential family distribution. Show that the product of the Beta and the Binomial distribution is also a member of the exponential family.

17 a) Find the extrema of $f(x, y, z)=x-y+z$ subject to $g(x, y, z)=x^{2}+y^{2}+z^{2}=$
2.
b) Let

$$
P=\left[\begin{array}{rrr}
13 & 12 & -2 \\
12 & 17 & 6 \\
-2 & 6 & 12
\end{array}\right], q=\left[\begin{array}{r}
-22.0 \\
-14.5 \\
13.0
\end{array}\right], \text { and } r=1
$$

Show that $\boldsymbol{x}^{*}=(\mathbf{1}, \mathbf{1 / 2},-\mathbf{1})$ is optimal for the optimization problem

$$
\begin{array}{ll}
\min & \frac{1}{2} x^{\top} P x+q^{\top} x+r \\
\text { s.t. } & -1 \leq x_{i} \leq 1, i=1,2,3 . \tag{6}
\end{array}
$$

## OR

18 a) Derive the gradient descent training rule assuming that the target function is represented as $\boldsymbol{o}_{\boldsymbol{d}}=\boldsymbol{w}_{\boldsymbol{0}}+\boldsymbol{w}_{\boldsymbol{l}} \boldsymbol{x}_{\boldsymbol{l}}+\ldots+\boldsymbol{w}_{\boldsymbol{n}} \boldsymbol{x}_{\boldsymbol{n}}$. Define explicitly the cost/ error function $\boldsymbol{E}$, assuming that a set of training examples $\boldsymbol{D}$ is provided, where each training example $\boldsymbol{d} \in \boldsymbol{D}$ is associated with the target output $\boldsymbol{t}_{\boldsymbol{d}}$.
b) Find the maximum value of $f(x, y, z)=x y z$ given that $g(x, y, z)=x+y+z=$ 3 and $x, y, z>=0$.

19 a) Consider the following probability distribution

$$
\begin{equation*}
P_{\theta}(x)=2 \theta x e^{-\theta x^{2}} \tag{7}
\end{equation*}
$$

where $\boldsymbol{\theta}$ is a parameter and $\boldsymbol{x}$ is a positive real number. Suppose you get $\boldsymbol{m}$ i.i.d. samples $\boldsymbol{x}_{\boldsymbol{i}}$ drawn from this distribution. Compute the maximum likelihood estimator for $\boldsymbol{\theta}$ based on these samples.
b) Consider the following Bayesian network with boolean variables.

i. List variable(s) conditionally independent of $\boldsymbol{X}_{33}$ given $\boldsymbol{X}_{11}$ and $\boldsymbol{X}_{12}$
ii. List variable(s) conditionally independent of $\boldsymbol{X}_{33}$ and $\boldsymbol{X}_{22}$
iii. Write the joint probability $\boldsymbol{P}\left(\boldsymbol{X}_{11}, X_{12}, X_{13}, X_{21}, X_{22}, X_{31}, X_{32}, X_{33}\right)$ factored according to the Bayes net. How many parameters are necessary to define the conditional probability distributions for this Bayesian network?
iv. Write an expression for $\boldsymbol{P}\left(\boldsymbol{X}_{13}=\mathbf{0}, \boldsymbol{X}_{22}=\mathbf{1}, \boldsymbol{X}_{33}=\mathbf{0}\right)$ in terms of the conditional probability distributions given in your answer to part (iii). Justify your answer.

20 a) Consider the following one dimensional training data set, ' $x$ ' denotes negative examples and 'o' positive examples. The exact data points and their labels are given in the table below. Suppose a SVM is used to classify this data.

i. Indicate which are the support vectors and mark the decision boundary.
ii. Give the value of the cost function and the model parameter after training.
b) Suppose that we are fitting a Gaussian mixture model for data items consisting of a single real value, $\boldsymbol{x}$, using $\boldsymbol{K}=\boldsymbol{2}$ components. We have $N=\boldsymbol{5}$ training cases, in which the values of $\boldsymbol{x}$ are as $\mathbf{5}$, $\mathbf{1 5}, \mathbf{2 5}, \mathbf{3 0}, 40$. Using the EM algorithm to find the maximum likeihood estimates for the model parameters, what are the mixing proportions for the two components, $\boldsymbol{\pi}_{1}$ and $\boldsymbol{\pi}_{2}$, and the means for the two components, $\boldsymbol{\mu}_{1}$ and $\boldsymbol{\mu}_{2}$. The standard deviations for the two components are fixed at 10 .

Suppose that at some point in the EM algorithm, the $\mathbf{E}$ step found that the responsibilities of the two components for the five data items were as follows:

| $r_{i 1}$ | $r_{i 2}$ |
| :--- | :--- |
| 0.2 | 0.8 |
| 0.2 | 0.8 |
| 0.8 | 0.2 |
| 0.9 | 0.1 |
| 0.9 | 0.1 |

What values for the parameters $\boldsymbol{\pi}_{1}, \boldsymbol{\pi}_{2}, \boldsymbol{\mu}_{\boldsymbol{1}}$, and $\boldsymbol{\mu}_{2}$ will be found in the next $\mathbf{M}$ step of the algorithm?

| Teaching Plan |  |  |
| :---: | :---: | :---: |
| No | Topic | No. of Lectures <br> (45) |
| 1 | Module-I (LINEAR ALGEBRA) | 8 |
| 1. | Systems of Linear Equations - Matrices, Solving Systems of Linear Equations. Vector Spaces - Linear Independence. | 1 |
| 2. | Vector Spaces - Basis and Rank D $^{\text {a }}$ | 1 |
| 3. | Linear Mappings | 1 |
| 4. | Norms, Inner Products, Lengths and Distances, Angles and Orthogonality, Orthonormal Basis, Orthogonal Complement | 1 |
| 5. | Orthogonal Projections, Matrix Decompositions, Determinant and Trace. | 1 |
| 6. | Eigenvalues and Eigenvectors | 1 |
| 7. | Cholesky Decomposition, Eigen decomposition and Diagonalization | 1 |
| 8. | Singular Value Decomposition - Matrix Approximation | 1 |
|  | Module-II (VECTOR CALCULUS) | 6 |
| 1 | Differentiation of Univariate Functions, Partial Differentiation and Gradients | 1 |
| 2 | Gradients of Vector Valued Functions, Gradients of Matrices | 1 |
| 3 | Useful Identities for Computing Gradients | 1 |
| 4 | Backpropagation and Automatic Differentiation | 1 |
| 5 | Higher Order Derivatives | 1 |
| 6 | Linearization and Multivariate Taylor Series | 1 |
| 3 | Module-III (Probability and Distributions) | 10 |
| 1 | Construction of a Probability Space - Discrete and Continuous Probabilities (Lecture 1) | 1 |


| 2 | Construction of a Probability Space - Discrete and Continuous <br> Probabilities (Lecture 2) | 1 |
| :---: | :--- | :---: |
| 3 | Sum Rule, Product Rule | 1 |
| 4 | Bayes' Theorem | 1 |
| 5 | Summary Statistics and Independence | 1 |
| 6 | Important probability Distributions (Lecture 1) | 1 |
| 7 | Important probability Distributions (Lecture 2) | 1 |
| 8 | Conjugacy and the Exponential Family (Lecture 1) | 1 |
| 9 | Conjugacy and the Exponential Family (Lecture 2) | 1 |
| 10 | Change of Variables/Inverse Transform | 1 |
| 4 |  | $\mathbf{7}$ |
| 1 | Optimization Using Gradient Descent. | 1 |
| 2 | Gradient Descent With Momentum, Stochastic Gradient Descent | 1 |
| 3 | Constrained Optimization and Lagrange Multipliers (Lecture 1) | 1 |
| 4 | Constrained Optimization and Lagrange Multipliers (Lecture 2) | 1 |
| 5 | Convex Optimization | 1 |
| 6. | Linear Programming | 1 |
| 7. | Quadratic Programming | 1 |
| $\mathbf{5}$ | Module-V (CENTRAL MACHINE LEARNING PROBLEMS) | $\mathbf{1 4}$ |
| 1. | Data and Learning models - Empirical Risk Minimization, | 1 |
| 2. | Parameter Estimation | 1 |
| 3. | Directed Graphical Models | 1 |
| 4. | Linear Regression | 1 |
| 5. | Bayesian Linear Regression | 1 |
| 6. | Maximum Likelihood as Orthogonal Projection | 1 |
| 7. | Dimensionality Reduction with Principal Component Analysis <br> Maximum Variance Perspective, Projection Perspective. |  |
| 8. | Eigenvector Computation and Low Rank Approximations | 1 |
| 9. | Density Estimation with Gaussian Mixture Models | 1 |
|  |  | 1 |


| 10. | Parameter Learning via Maximum Likelihood | 1 |
| :---: | :--- | :---: |
| 11. | EM Algorithm | 1 |
| 12. | Classification with Support Vector Machines - Separating <br> Hyperplanes | 1 |
| 13. | Primal Support Vector Machines, Dual Support Vector Machines | 1 |
| 14. | Kernels | 1 |
|  |  |  |
| *Assignments may include applications of the above theory. With respect to module V, <br> programming assignments may be given. |  |  |

